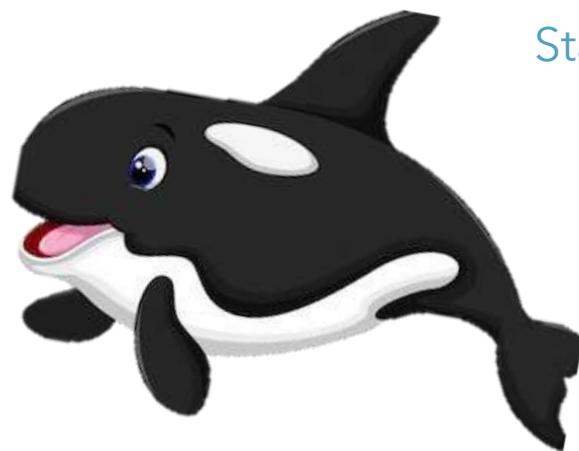


Can I Train a Robot Like my Dog?

Maximilian Du

Stanford '24 | CS Major (AI) | Creative Writing Minor (Prose)



The Training Game

The simple rules

1. There are two roles: **trainer** and **trainee**
2. Trainee is motivated by a **reward** (clicker or whistle)
3. Trainer wants to get trainee to do a predefined task

Volunteers?

Walk close to trainer

Spin in circles

Jump up and down

Stand on table



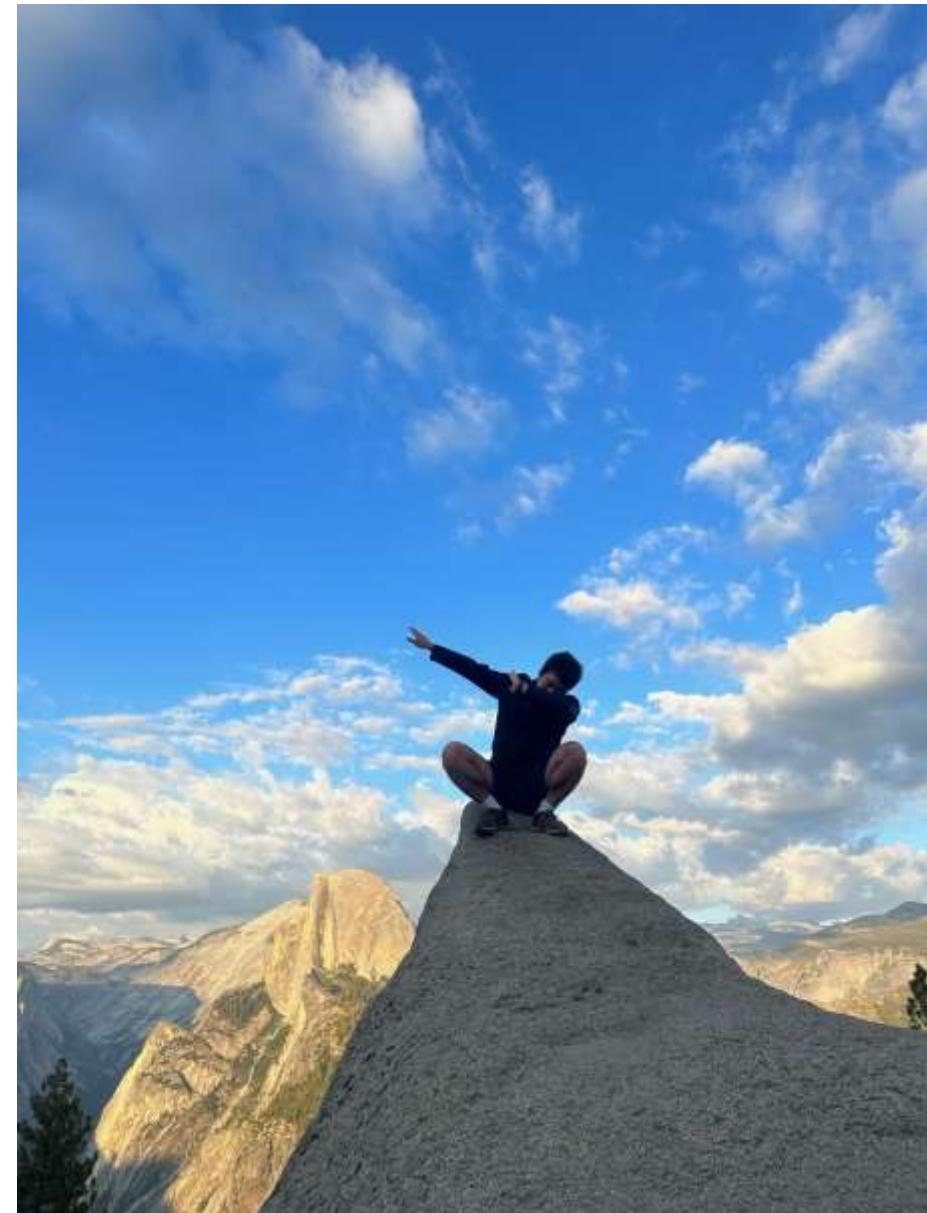
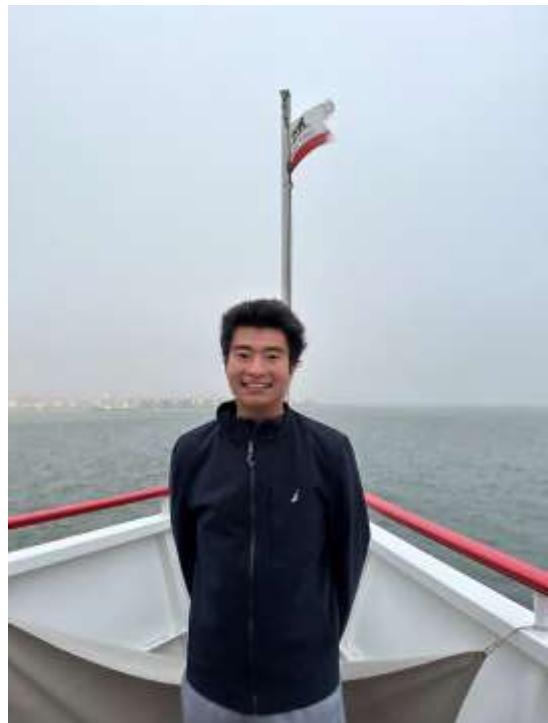
Ch 0

Should I care about training?

Max Du (he/him)

Class of 2024

Computer Science BS Candidate
Creative Writing Minor Candidate
Psychology Minor Candidate



Lyndsey Schemm

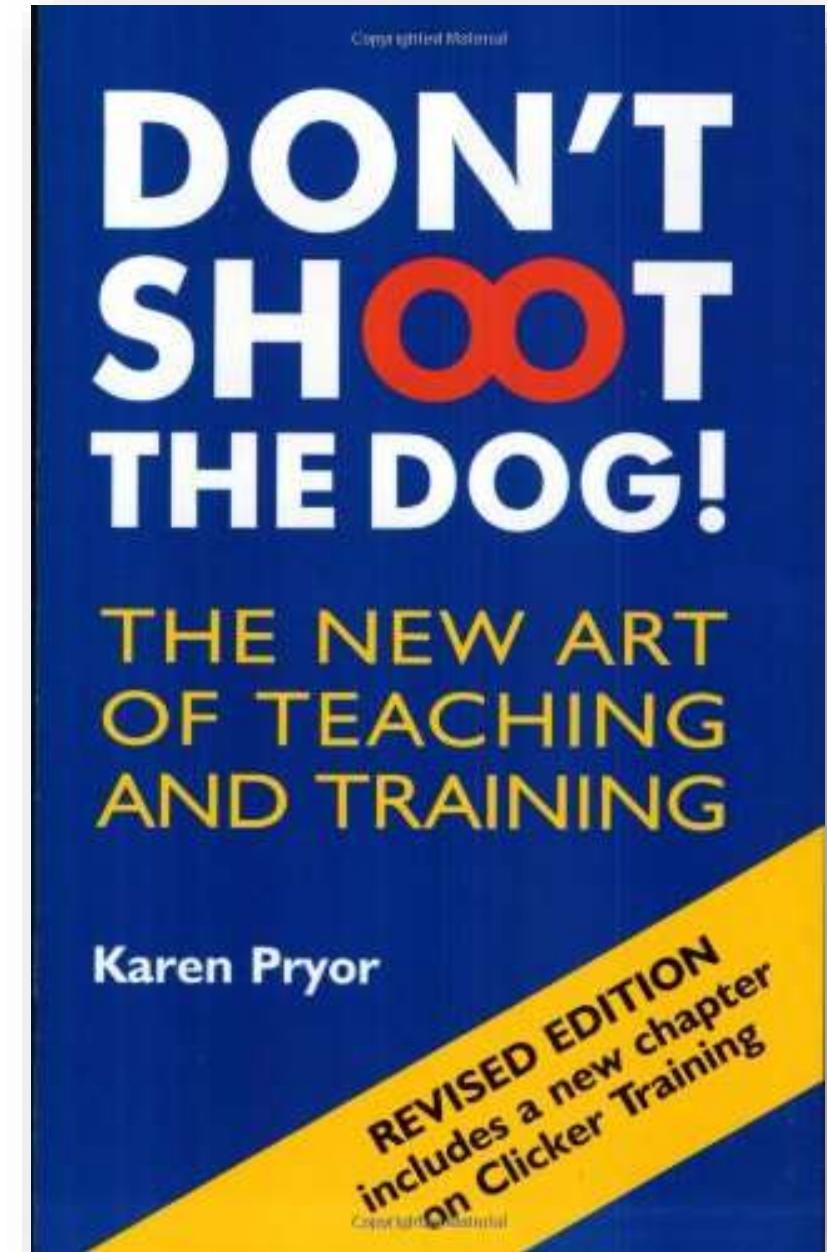


Animal training developed from the Behavioral Science foundations of **B.F. Skinner**

His main idea: every living creature learns everything from **rewards**



The **first dolphin trainer**, Karen Pryor, brought the theory into a set of **best practices** for marine mammals



Out of BF Skinner's ideas also came **Reinforcement Learning**

Reinforcement learning is how we can get **computers** to learn from **rewards**



Main Research Interest

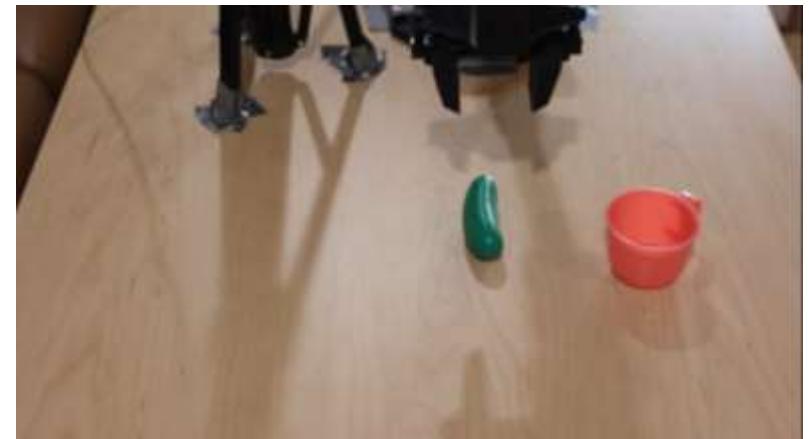
Getting robots to develop
broadly intelligent behavior
through **learning** and
interaction.



Can robots use **audio** and
vision?



Can robots learn from **mixed-quality** data?

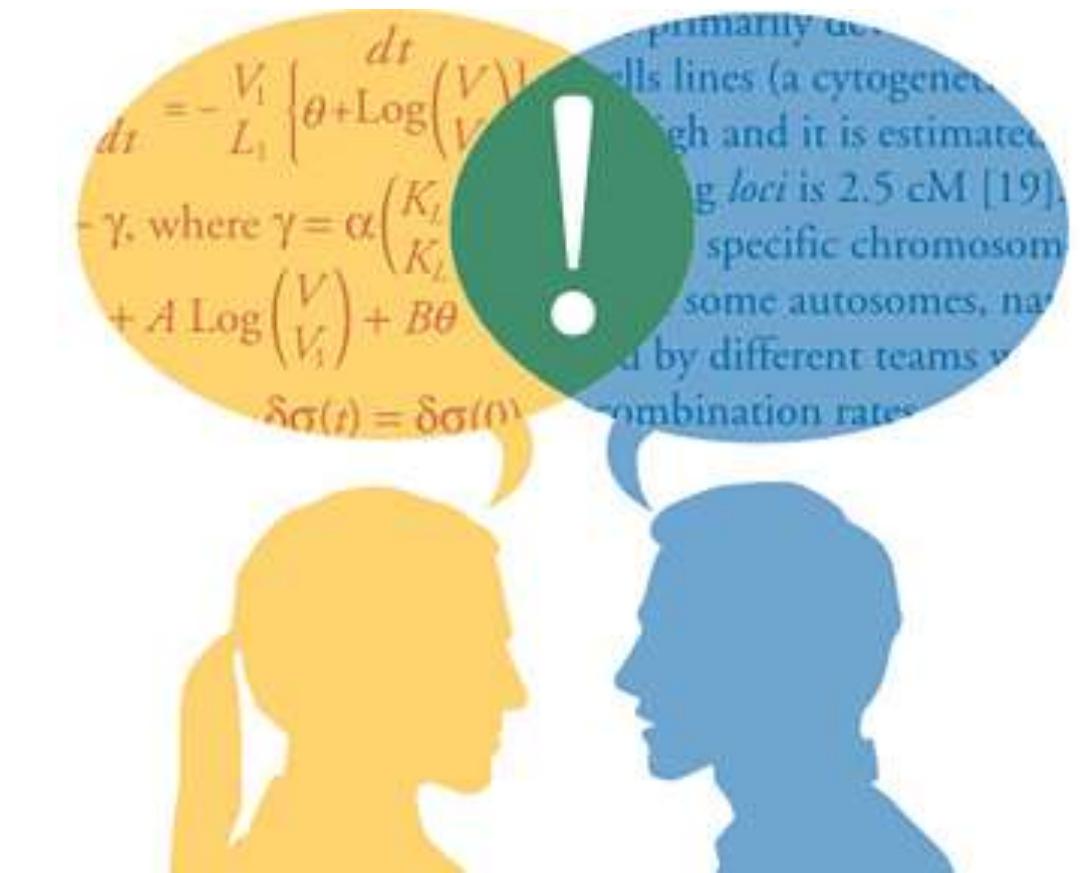


Can robots try **different strategies**?



Whale trainers don't
talk to robot
researchers.

Can we fix this?



The *Deadly Sins* of Learning

1. Context Shift
2. Superstition
3. Under-exploration

The Malfunctioning Dolphin





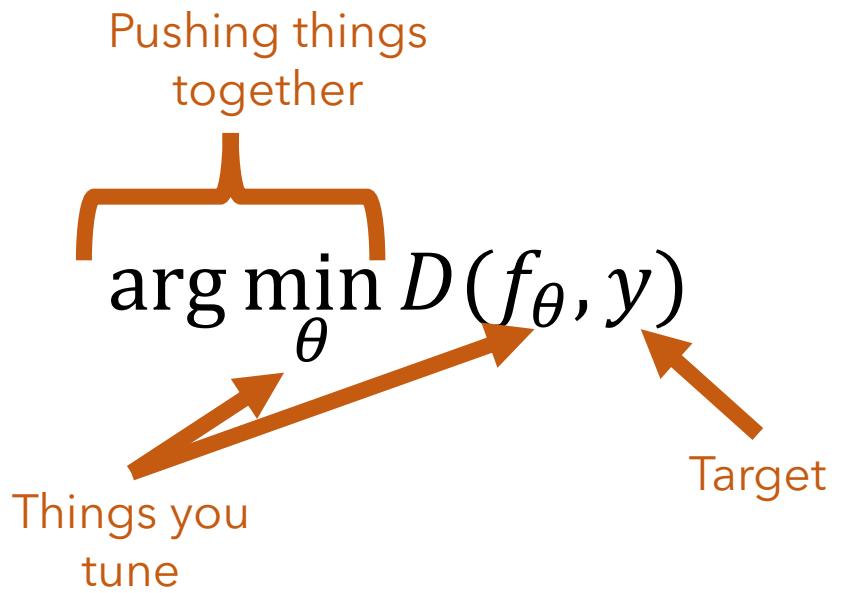
Ch 1

Context Shift

The *Deadly Sins* of Learning

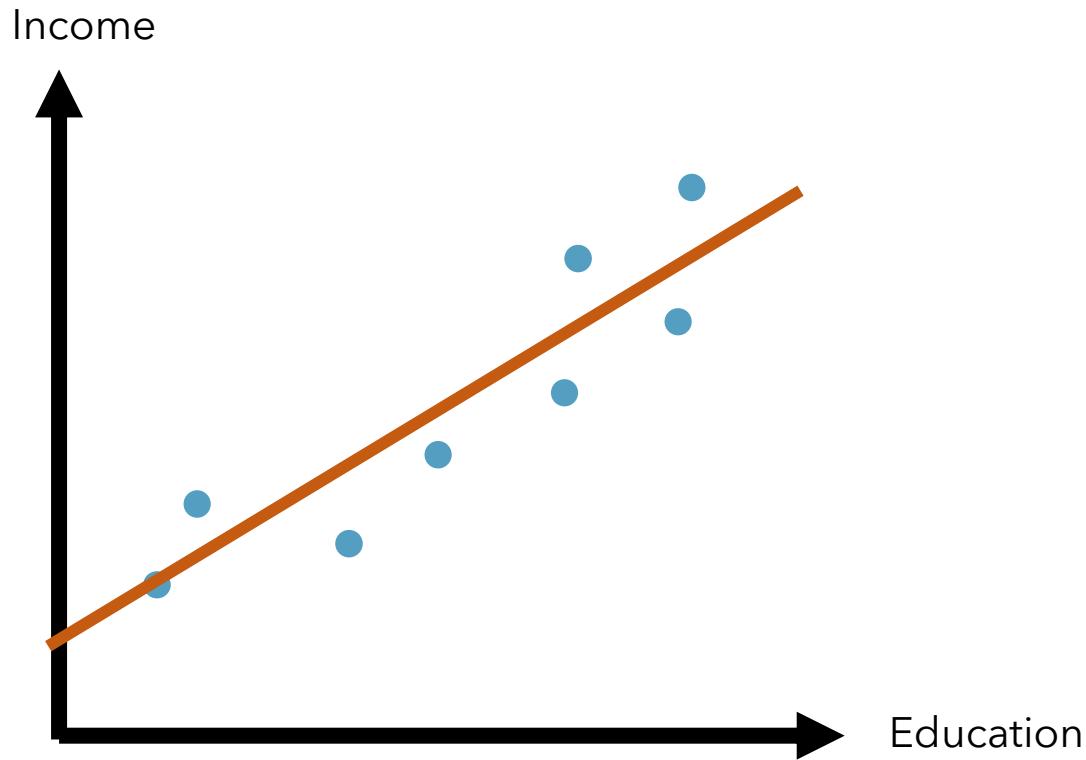
1. **Context Shift**
2. Superstition
3. Under-exploration

Much of machine
learning is pushing an
approximation close to
a **target**



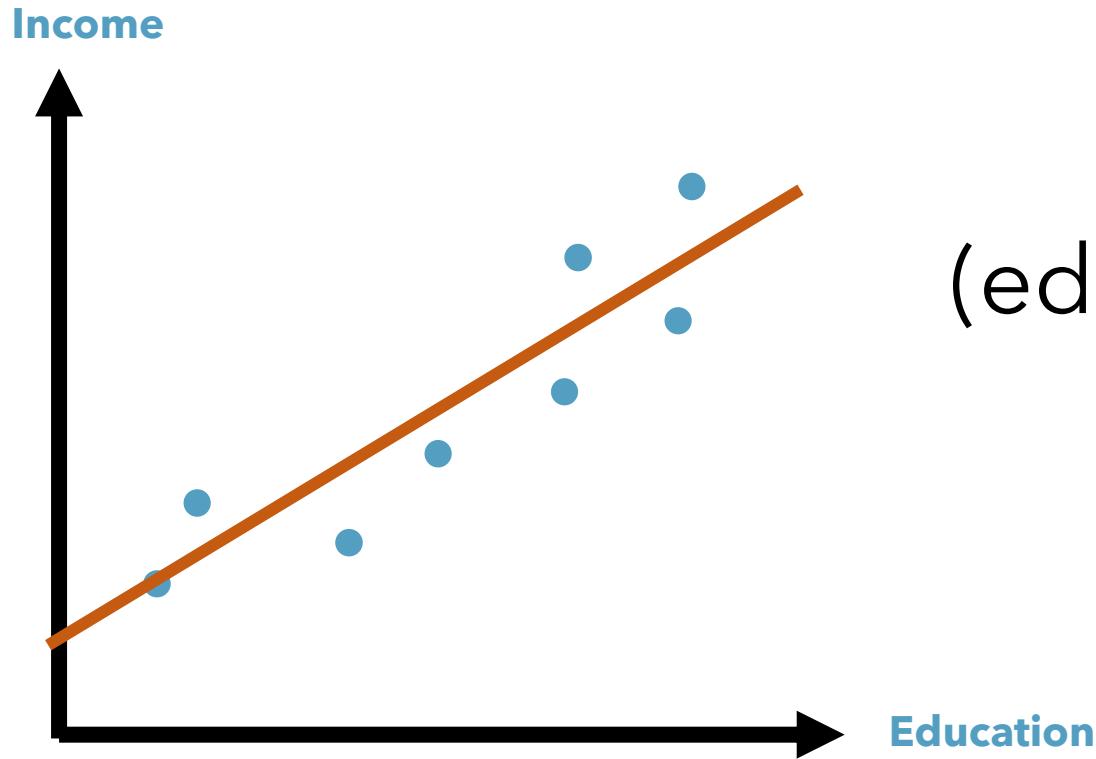
Case study: linear regression

Pushing things together
 $\arg \min_{\theta} D(f_{\theta}, y)$
Things you tune
Target

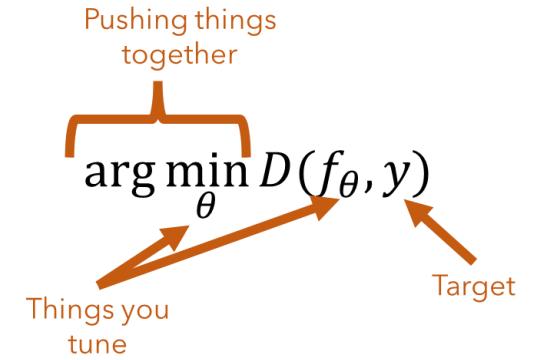


The **model** is
 $f_{\theta}(x) = \theta_1 x + \theta_2$

Case study: linear regression

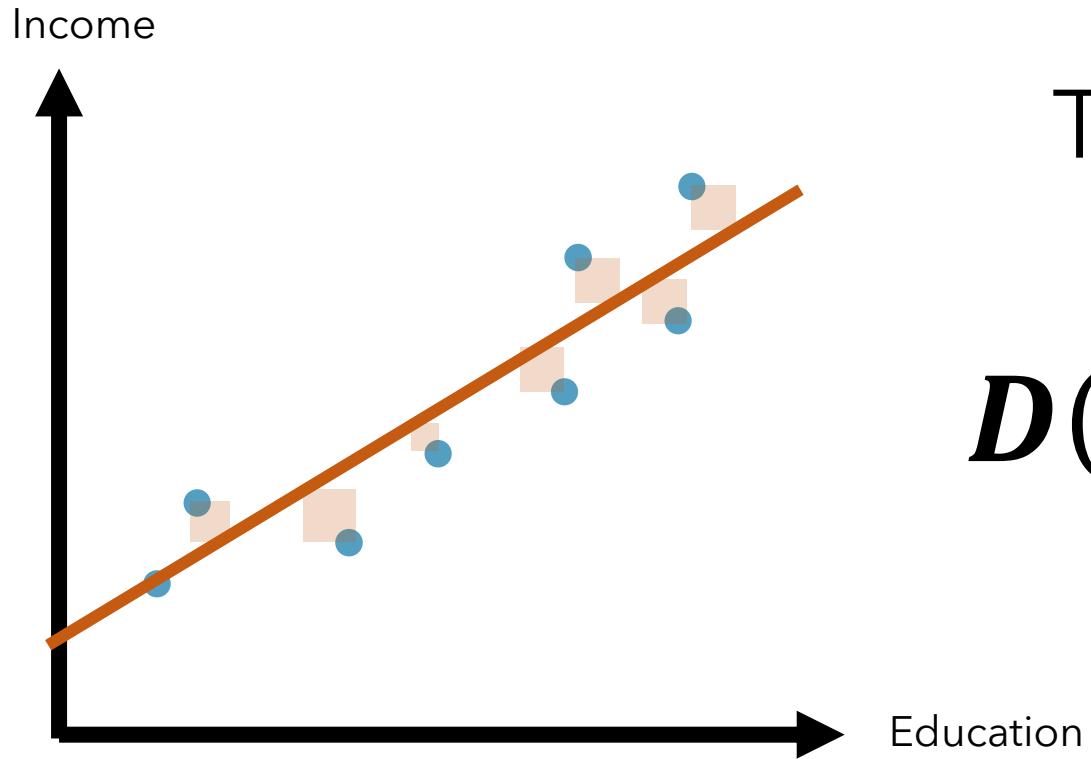


We are given **inputs** x
(education) and want to predict
outputs y (income)



Case study: linear regression

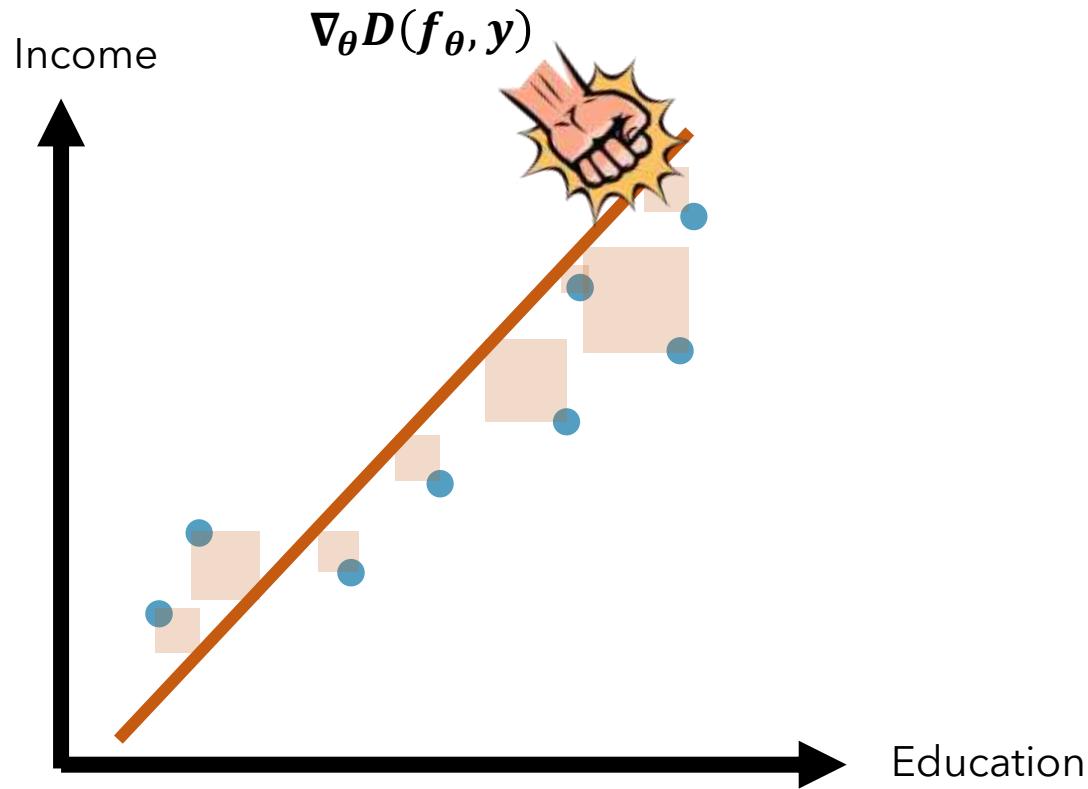
Pushing things together
 $\arg \min_{\theta} D(f_{\theta}, y)$
Things you tune
Target



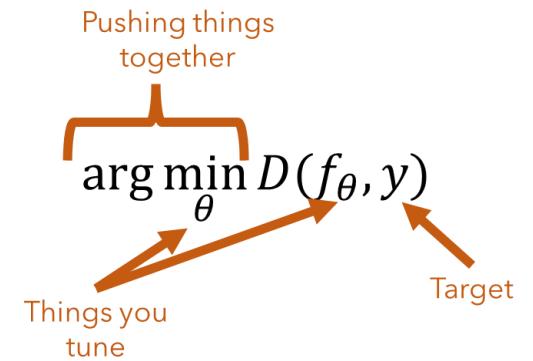
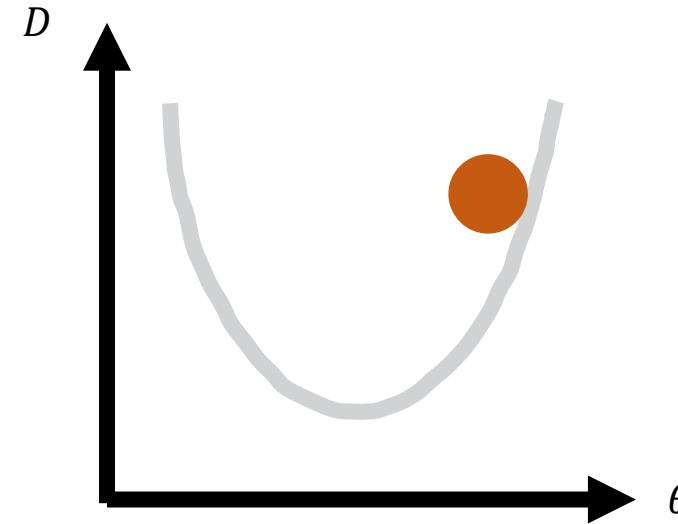
The D is **squared distance**

$$D(f_{\theta}, y) = (f_{\theta}(x) - y)^2$$

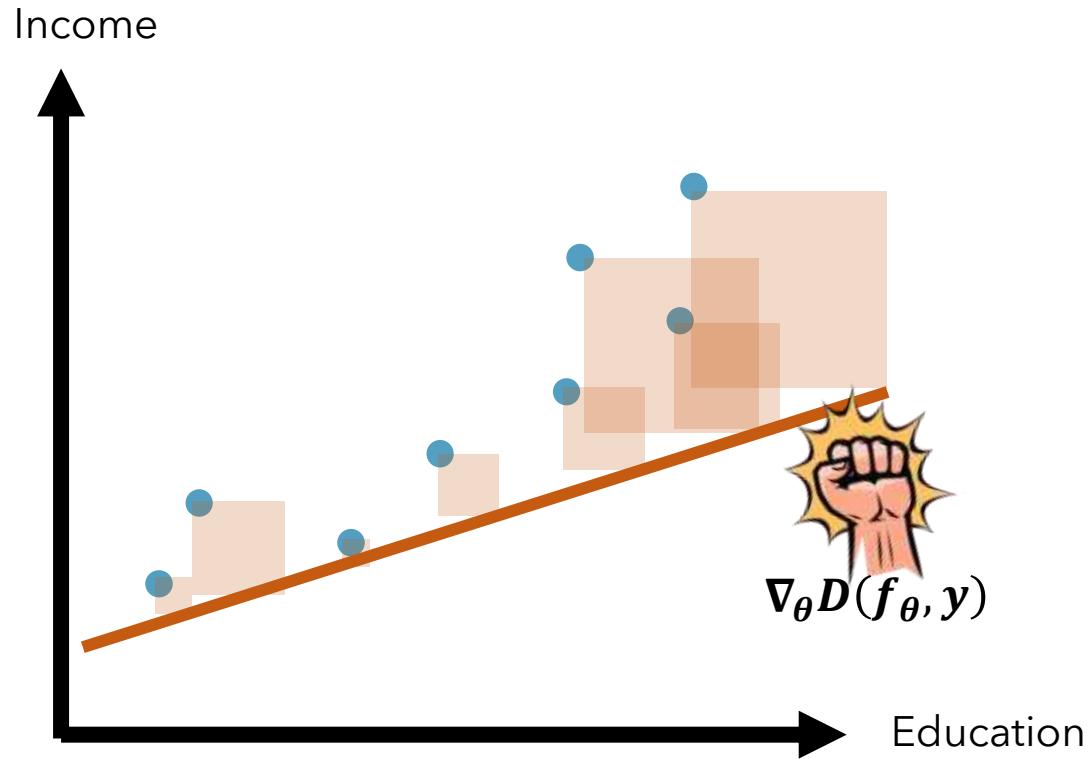
Case study: linear regression



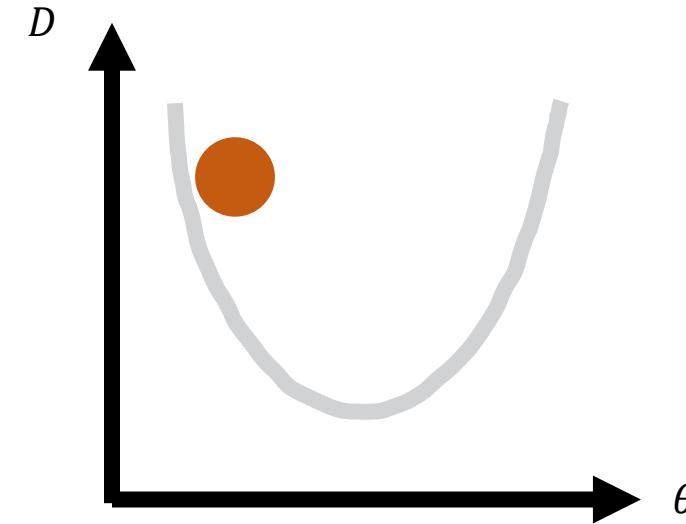
There is a natural place of
best fit



Case study: linear regression

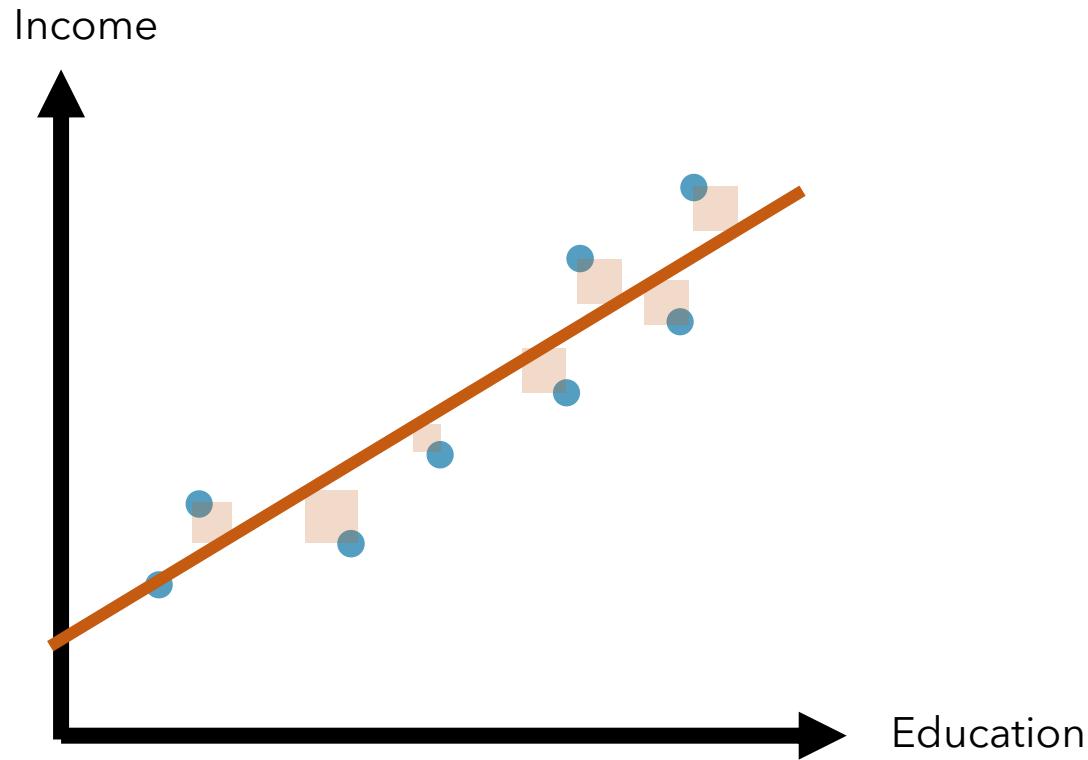


There is a natural place of
best fit

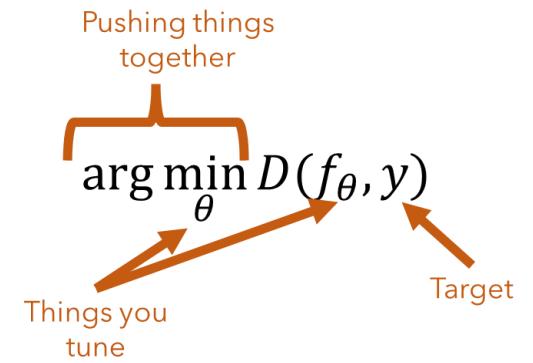
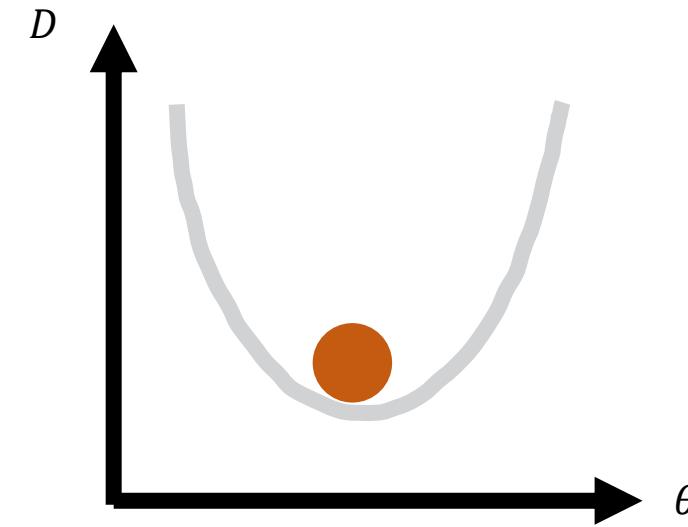


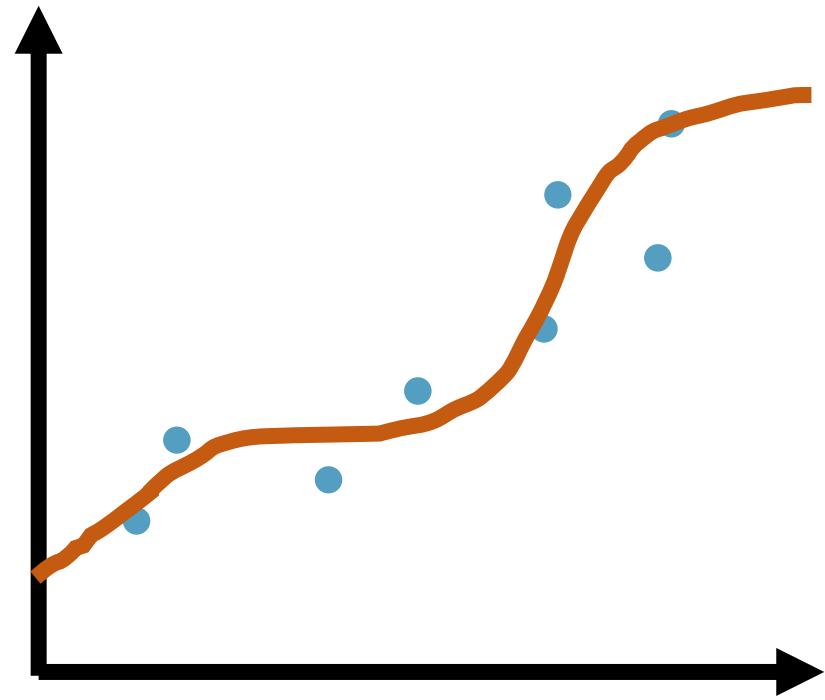
Pushing things together
 $\arg \min_{\theta} D(f_{\theta}, y)$
Things you tune
Target

Case study: linear regression

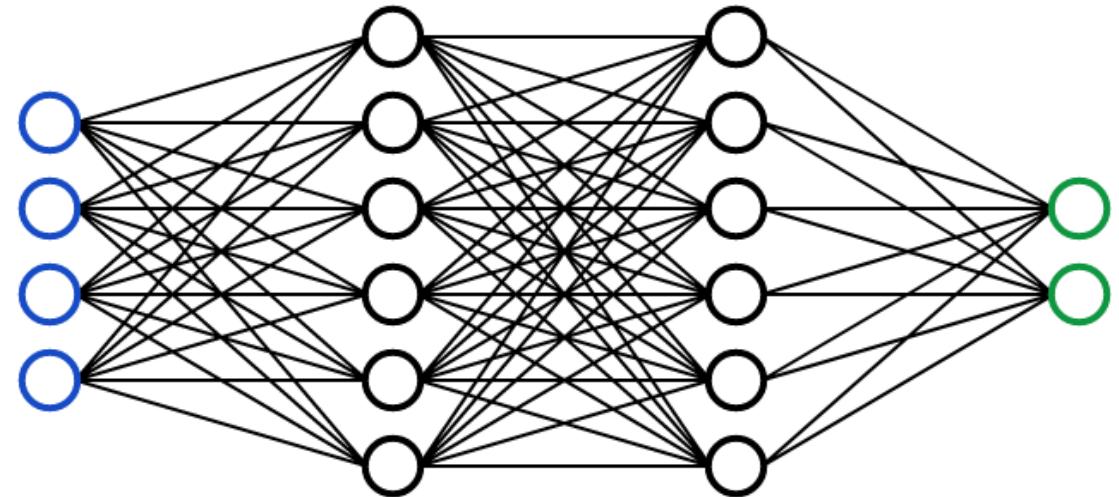


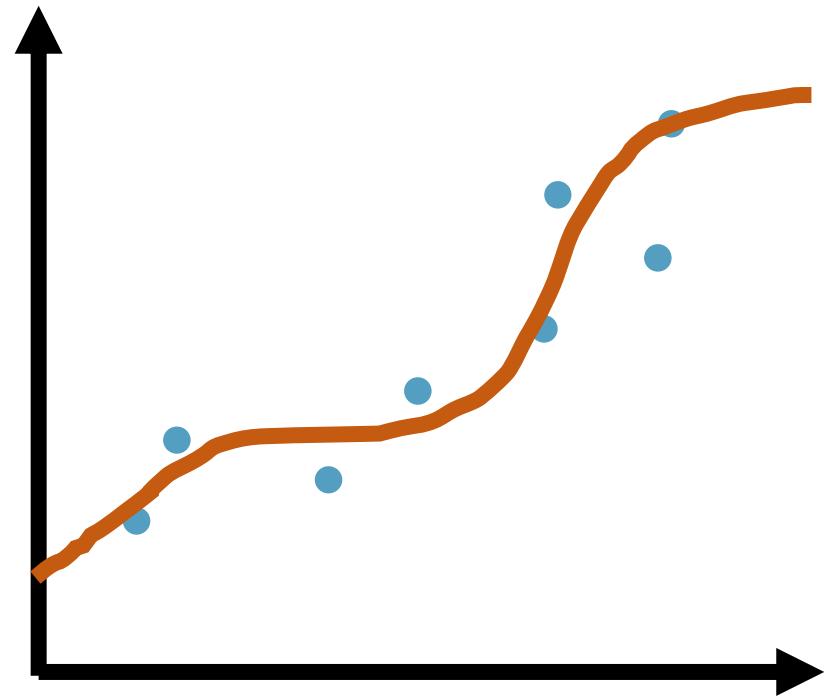
There exists one solution where the D is as small as can be





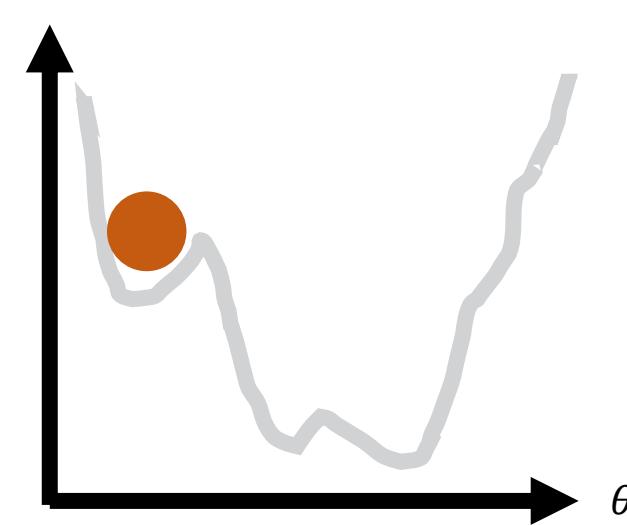
We can use θ to represent
some parameters of a
“neural network”

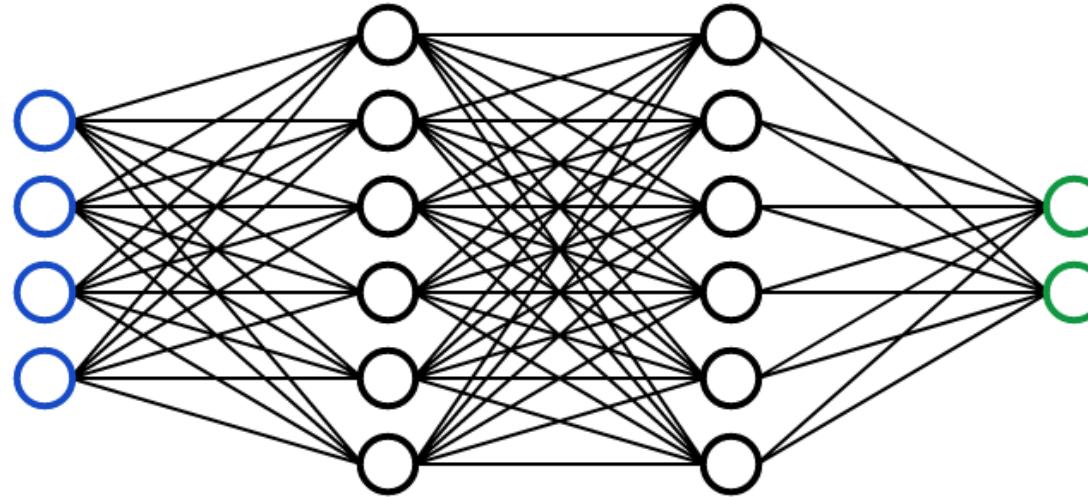




We can use θ to represent
some parameters of a
“neural network”

This is hard to optimize!





More complicated f_θ means...

- + More expressivity
- More difficulty in getting right

?

?

?

?

Questions so far?

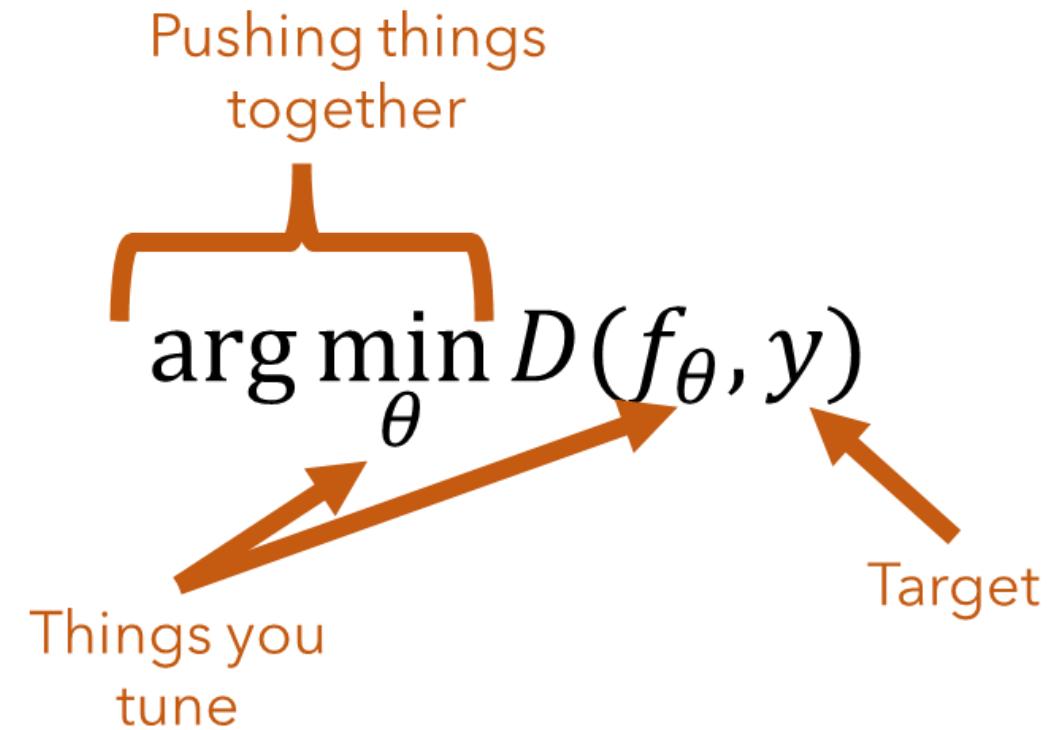
?

?

?

?

We can complicate
the system further by
changing x, y



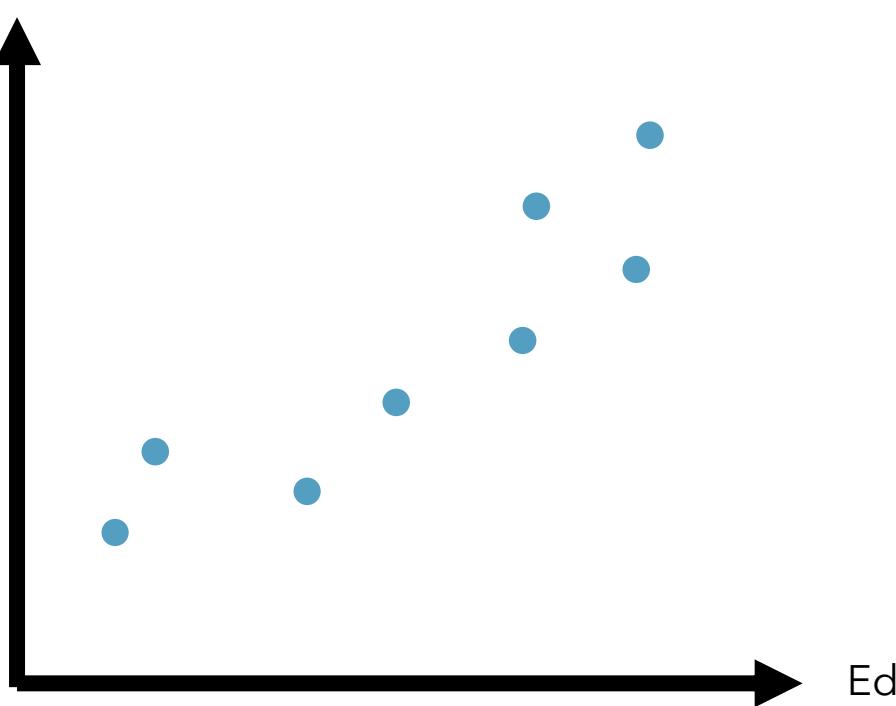
Input x

Number

Output y

Number

Income



Education

Input x

Images



Output y

Vector
(prediction)

05 02 22 97 38 18 00 40 00 75 04 05 07 18 52 12 50 77 55 05
49 49 99 40 17 81 18 57 60 87 17 40 98 43 69 51 14 56 62 00
81 49 31 73 55 79 14 29 93 71 40 67 51 58 30 03 49 13 36 65
52 70 95 23 06 60 11 42 68 17 65 56 01 32 56 71 37 92 36 91
22 31 16 71 51 67 65 59 41 92 36 54 22 40 40 28 66 33 13 80
24 47 31 60 99 03 45 02 44 75 35 53 78 36 24 20 35 17 12 50
32 98 81 28 69 23 67 10 26 38 40 67 59 54 70 66 18 38 69 70
67 26 20 68 02 62 12 20 95 63 94 39 63 08 40 91 66 49 94 21
24 55 98 05 66 73 99 26 97 17 78 78 98 83 14 88 34 89 63 72
21 36 23 09 75 00 76 44 20 45 35 14 00 61 33 97 34 31 33 95
78 17 53 28 22 75 31 67 15 94 03 80 04 62 16 14 09 53 56 92
16 39 05 42 96 35 31 47 55 58 88 24 00 17 54 24 36 29 85 57
86 56 00 48 35 71 89 07 05 44 44 37 44 60 21 58 51 54 17 58
19 80 81 68 05 94 47 69 28 73 92 13 86 52 17 77 04 89 55 40
04 52 08 83 97 35 99 16 07 97 57 32 16 26 26 79 33 27 98 66
57 34 68 67 57 62 20 72 03 46 33 67 46 55 12 32 63 93 53 69
04 42 16 73 55 82 89 11 24 94 72 18 08 46 29 32 40 62 76 36
20 69 36 41 72 30 23 88 31 65 89 69 82 67 59 85 74 04 36 16
20 73 38 29 78 31 90 01 74 31 49 71 35 84 51 16 23 57 05 84
01 70 54 71 83 51 54 69 16 92 33 48 61 43 52 01 89 5 67 40

What the computer sees

image classification

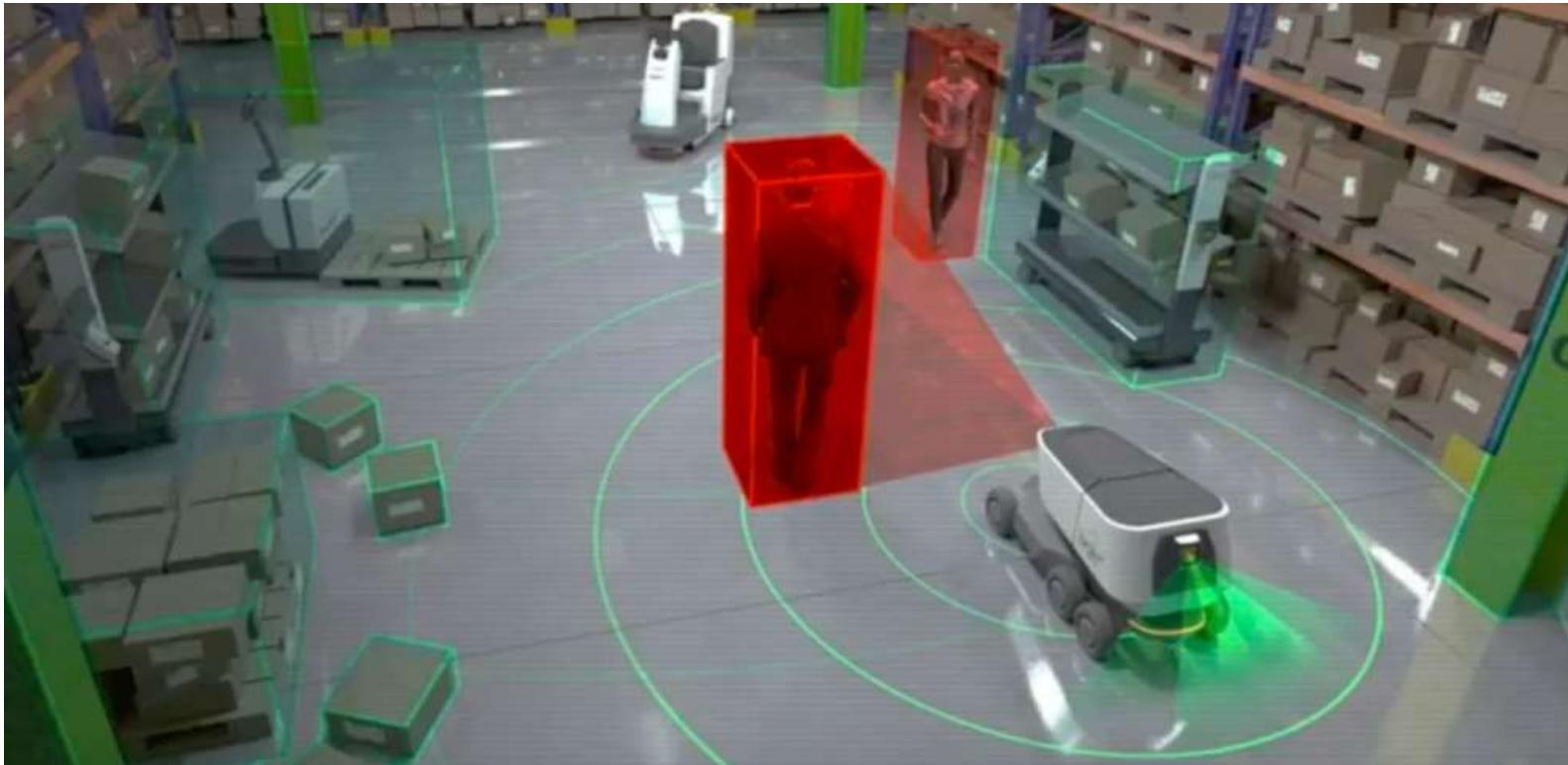
82% cat
15% dog
2% hat
1% mug

Input x

*Observation
(image)*

Output y

*Action
(vector)*



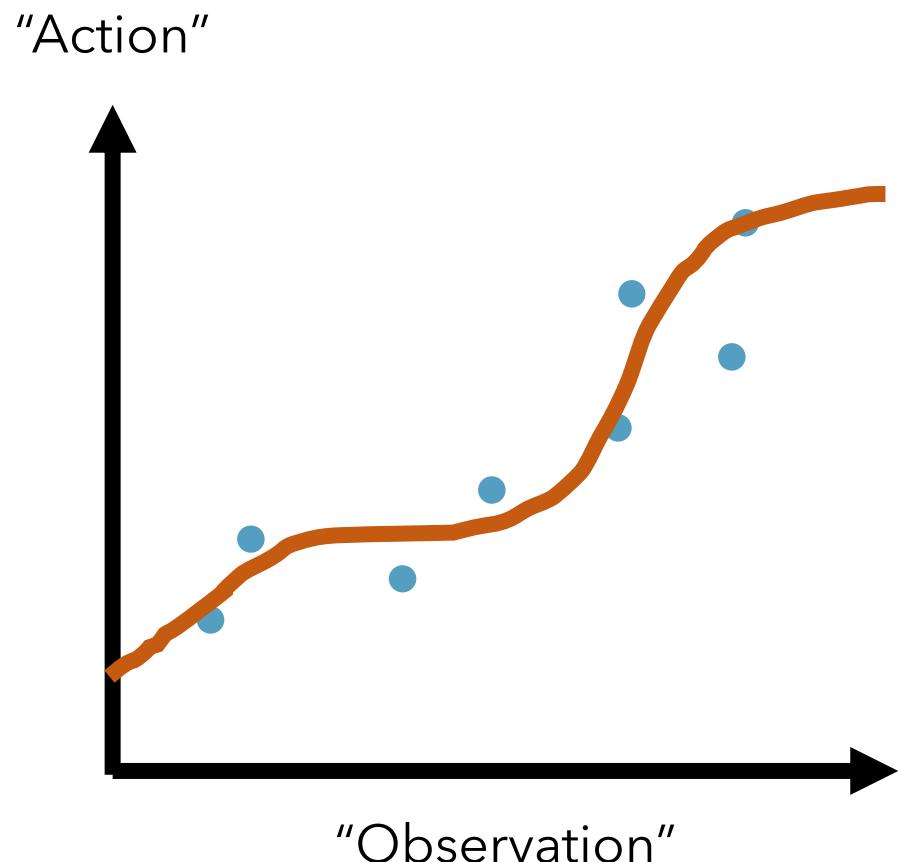
Behavior cloning is
the mapping of
observations to
actions



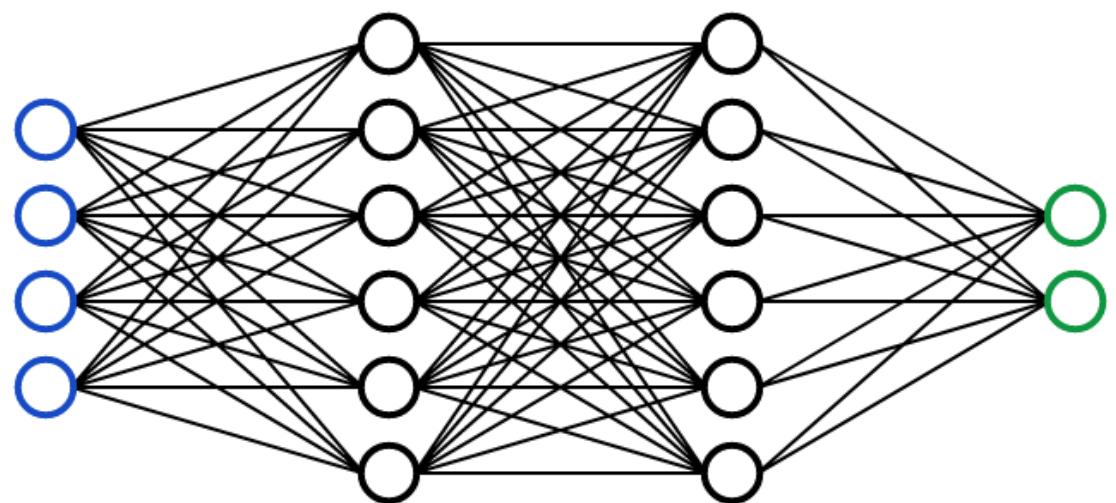
x Observation

[0.1, -0.2, 1] “*close door*”

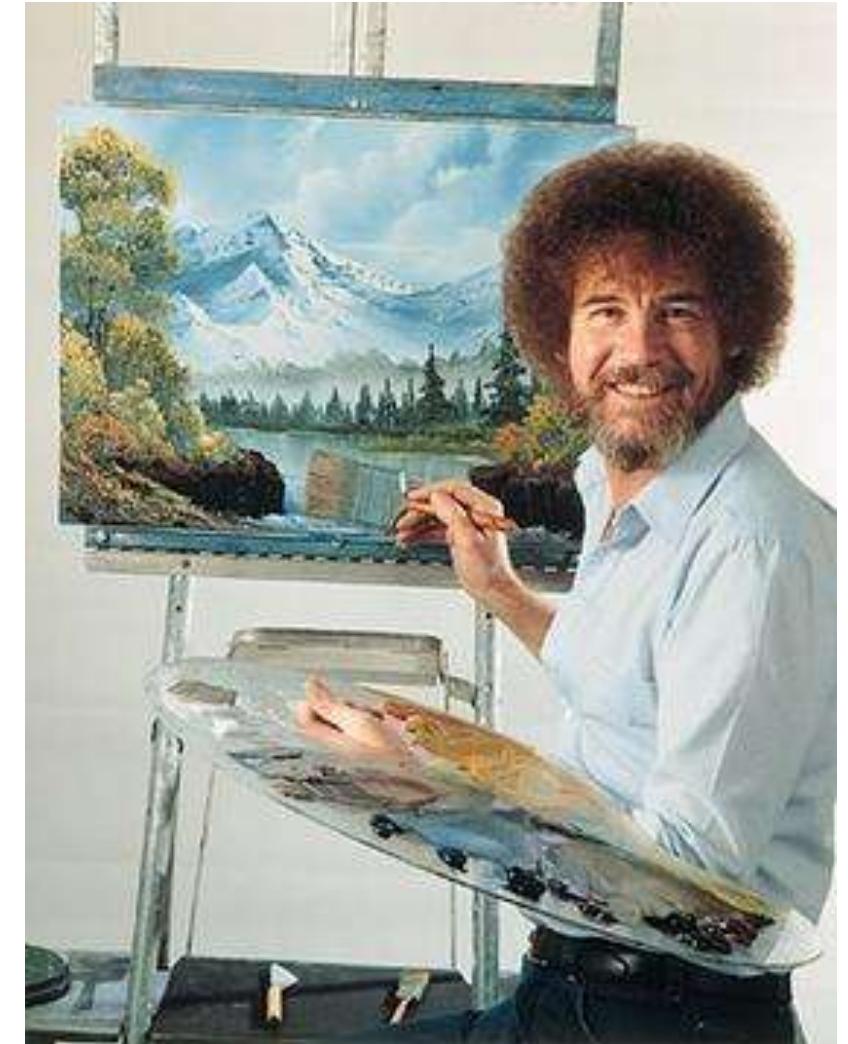
y Action



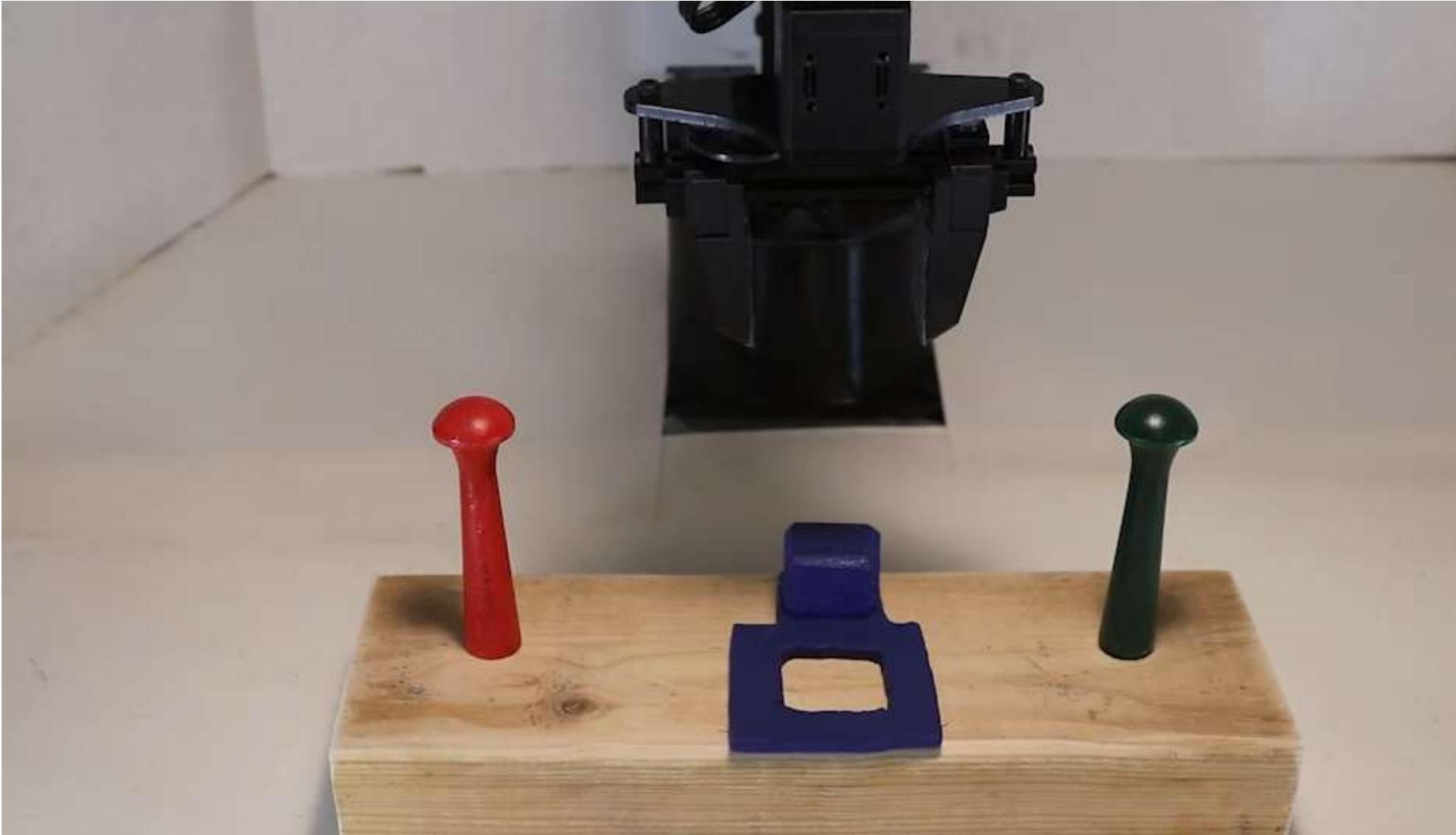
We can use **similar techniques** to regression:
calculus and a **complicated model (neural network)**



Behavior cloning
(imitation) is the
easiest way of
learning **complicated**
behaviors



This robot learned through Behavior Cloning



Wikie the talking orca

Hmmmm



Dank_Smirk 3 years ago

Scientists: "Speak."

Wikie: "HEWW O ! OwO"

Scientists: "By god, what have we done?"



411



Reply

▼ 12 replies



James D 4 years ago

Orca- RAWWWEEEKKKERRRR

Human- awwwww he said my name!



1.9K

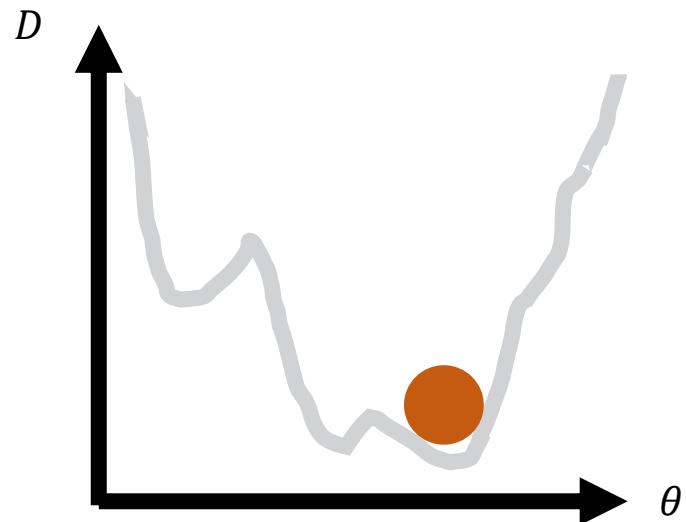
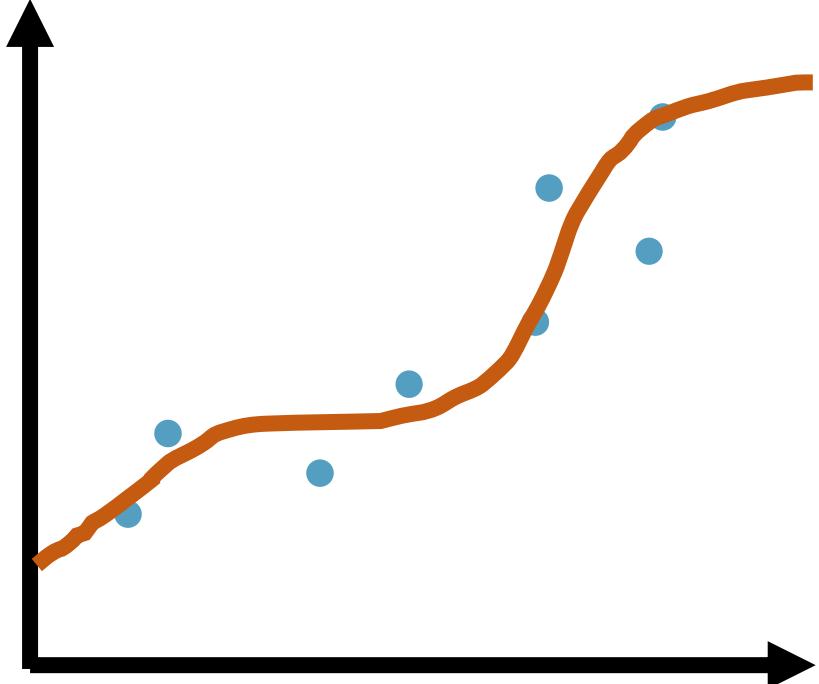


Reply

▼ 24 replies

Hmmm



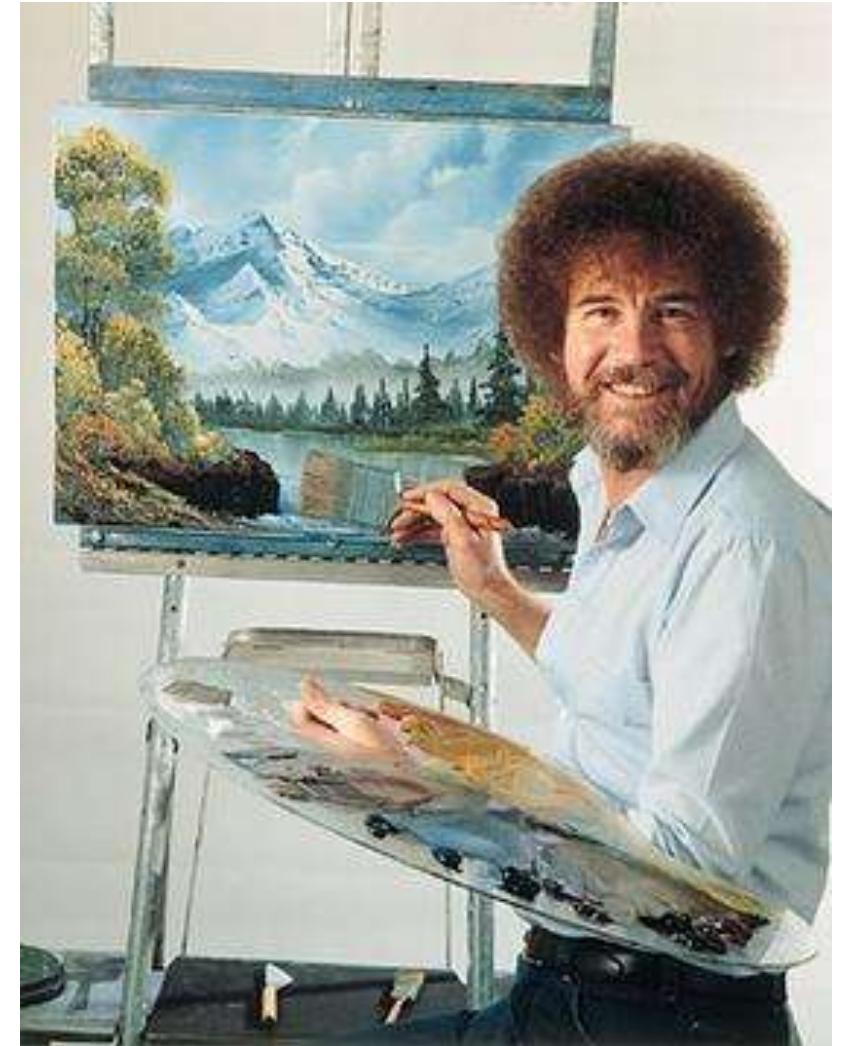


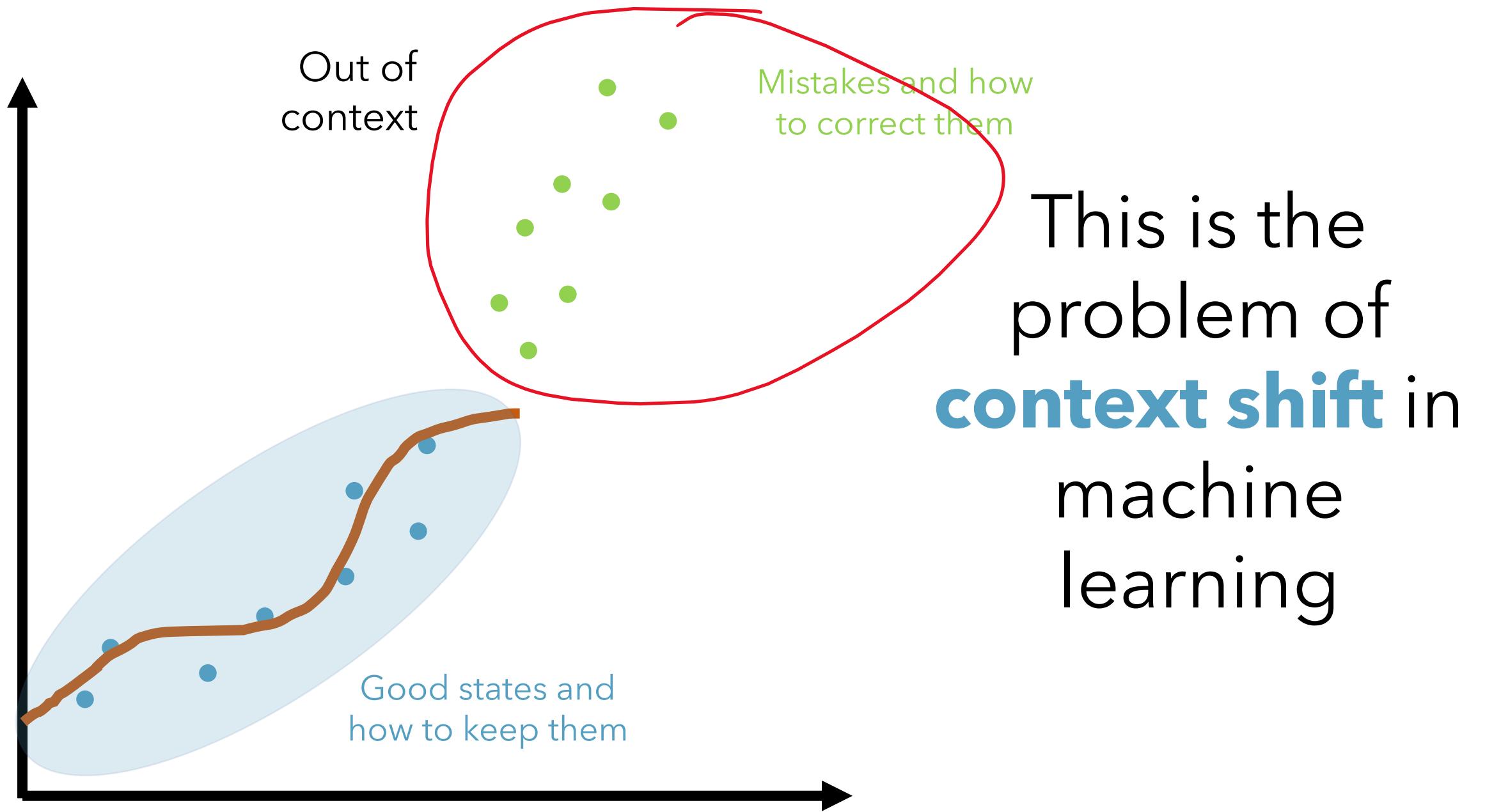
This problem
can happen with
the **cleanest**
expert data and
the **best fit**
model

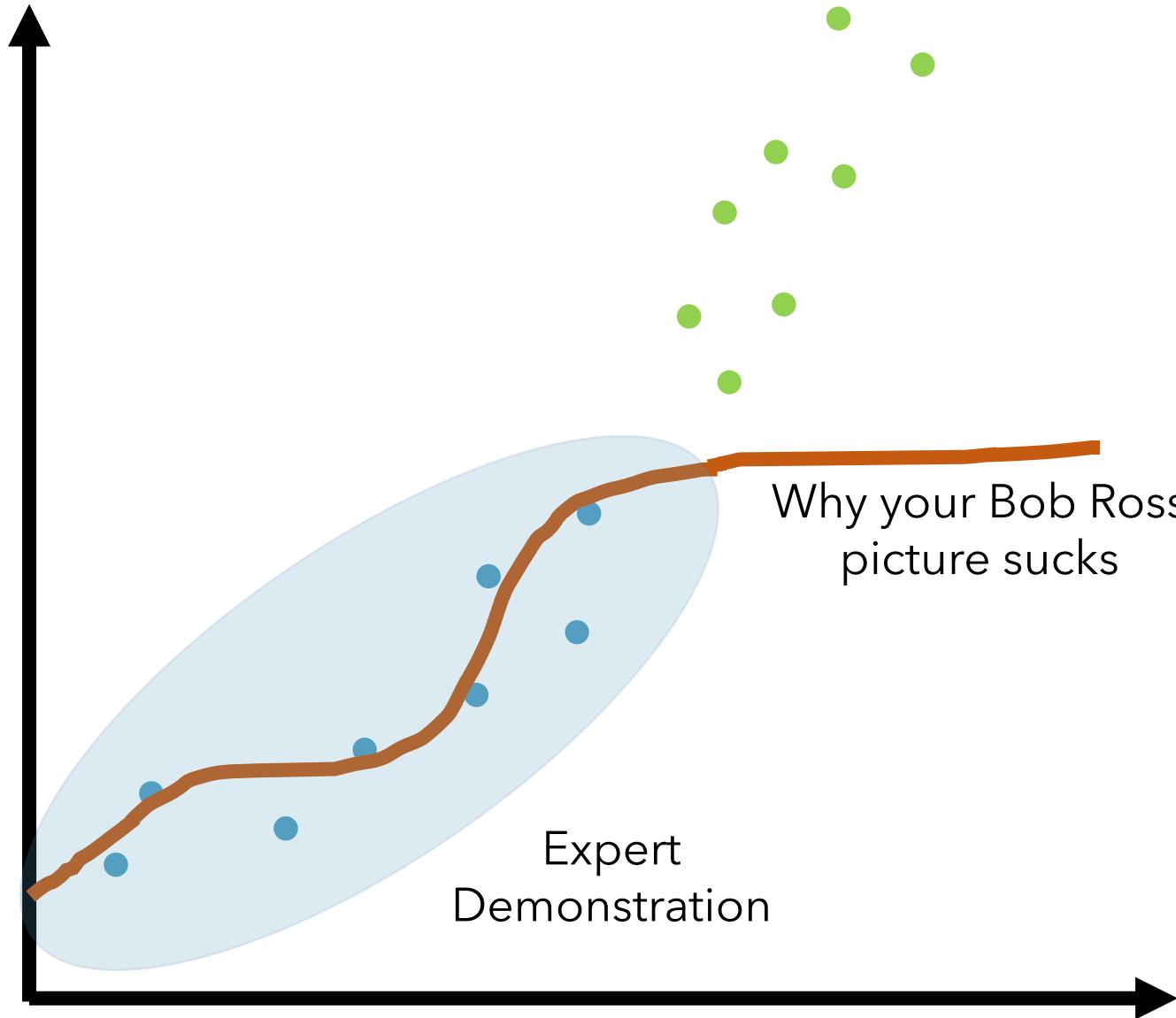
Pair up and discuss: What could be wrong with behavior cloning?

1. Collect expert data
2. Mimic expert data

Experts demonstrate
what is **good**, but
seldom how to
recover from what is
bad

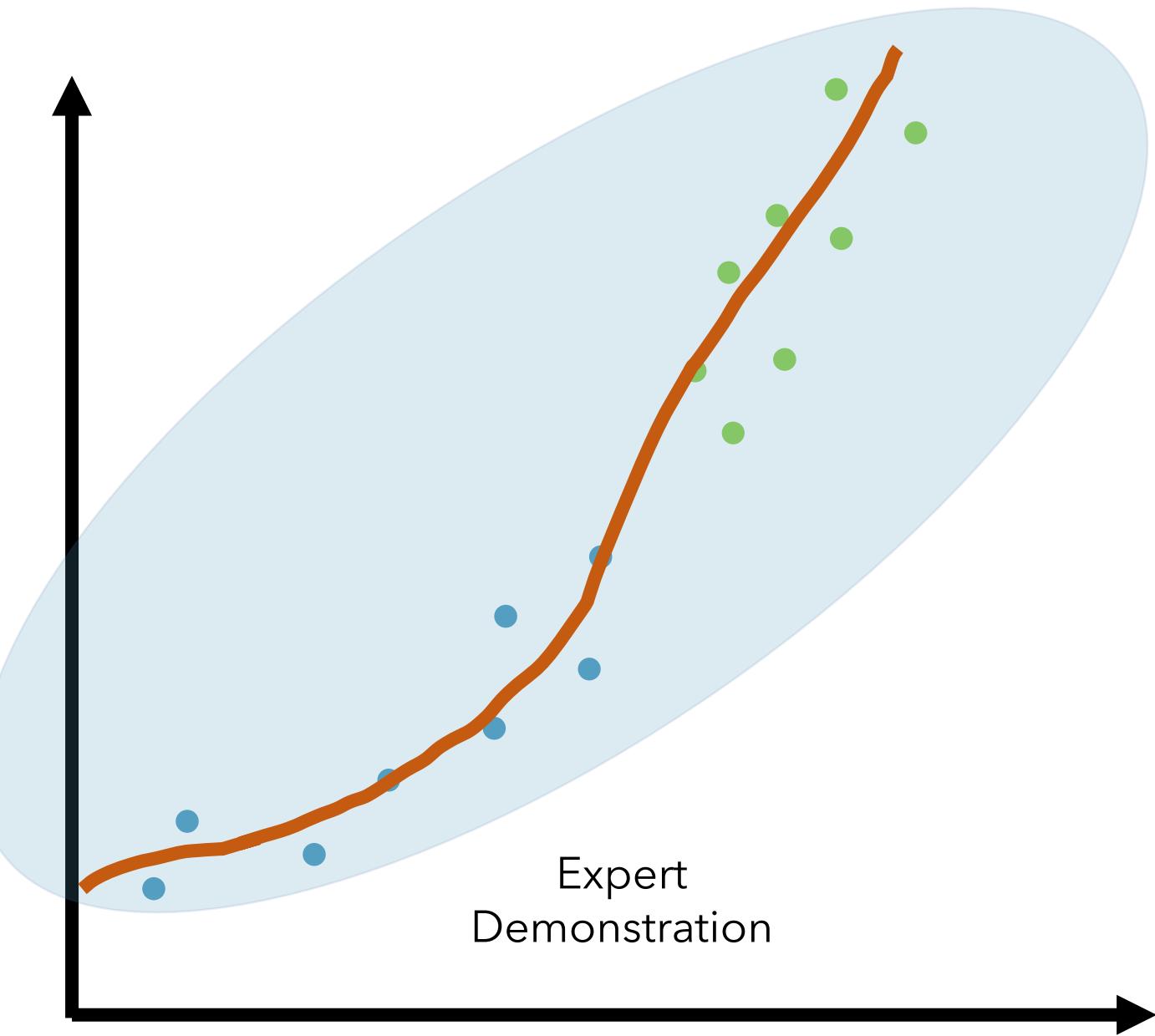




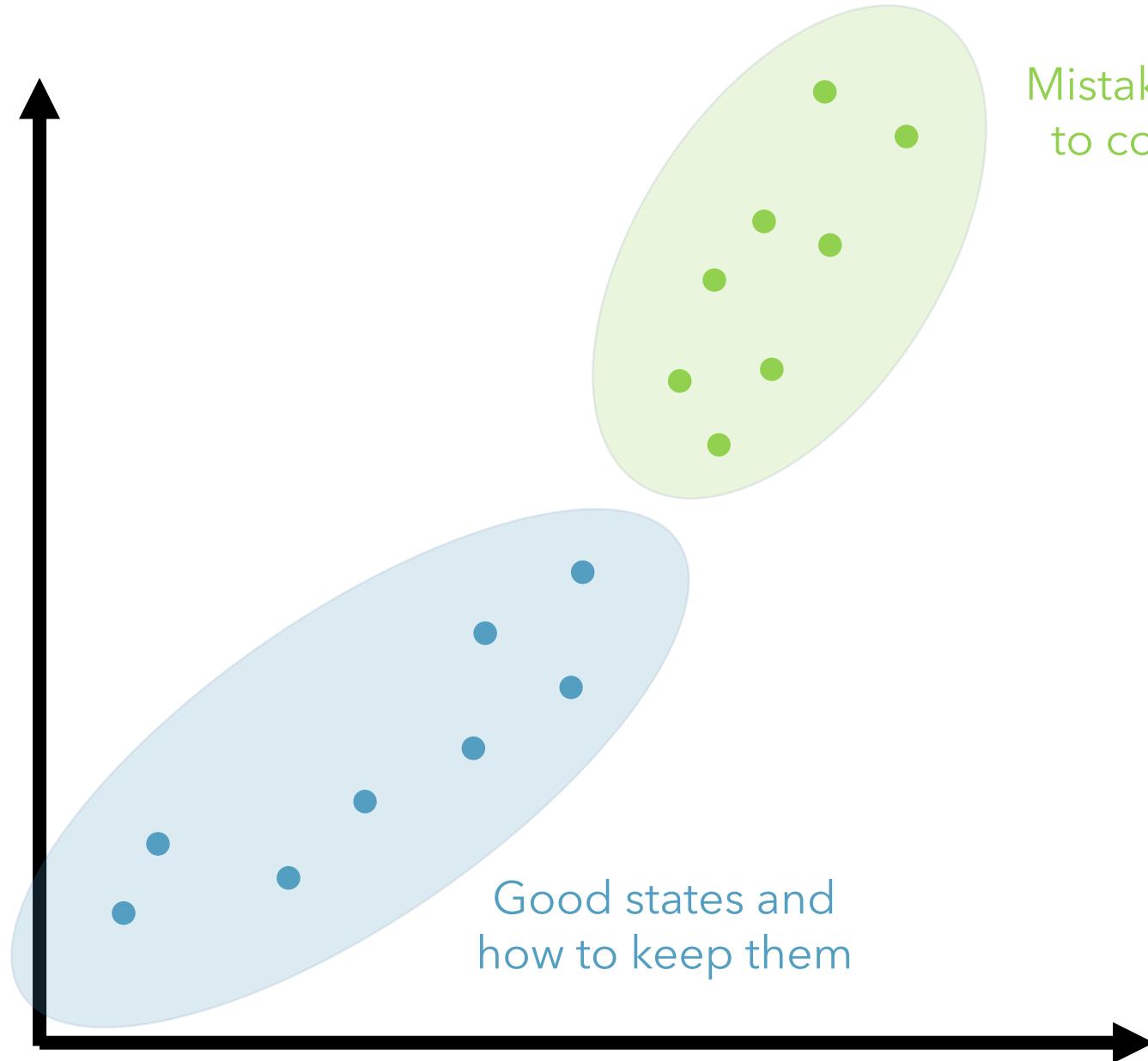


The sin of Context Shift

Outside a learned **context**, there is no guarantees of meaningful function



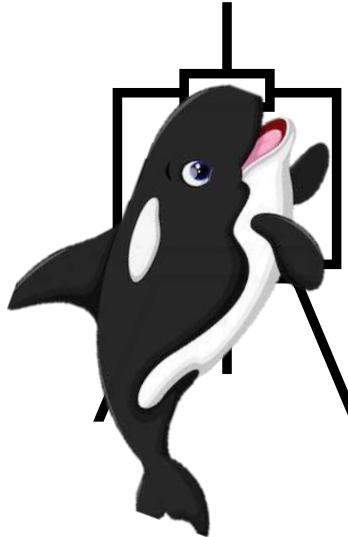
Sin Resolution
We can **expand**
the context
(expert
demonstrations)



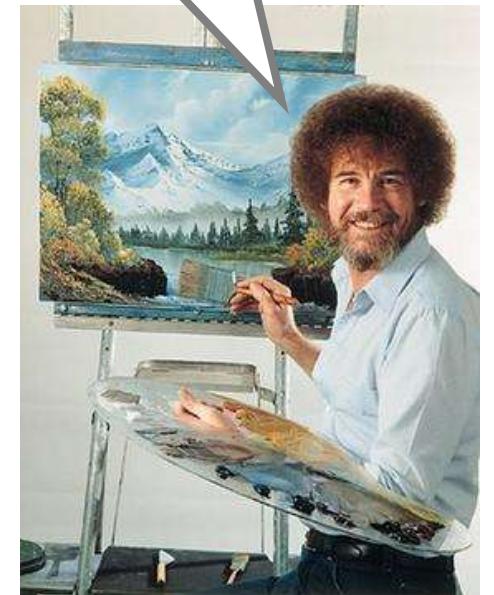
It may be hard to
proactively find
mistakes.

Any ideas?

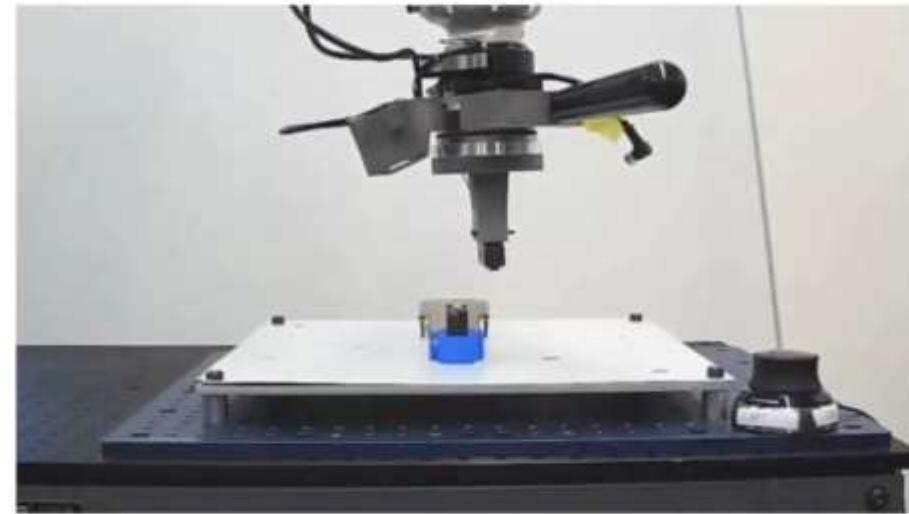
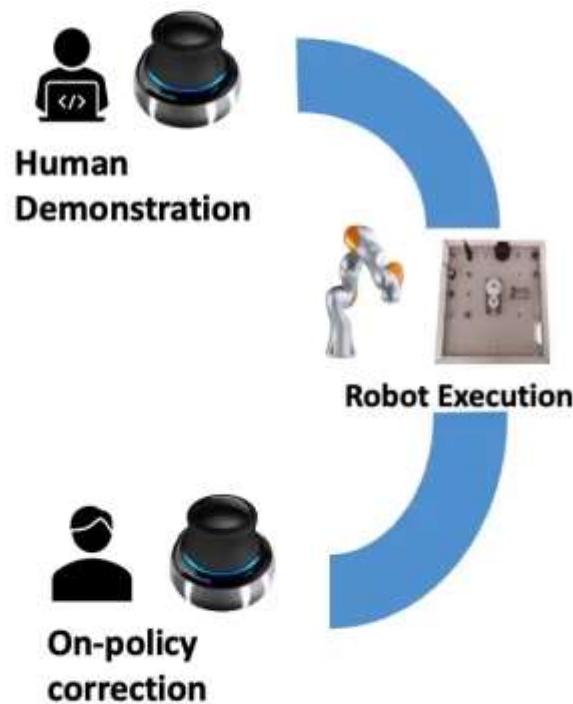
Let the **trained agent**
make the mistakes,
and then **correct
them!**

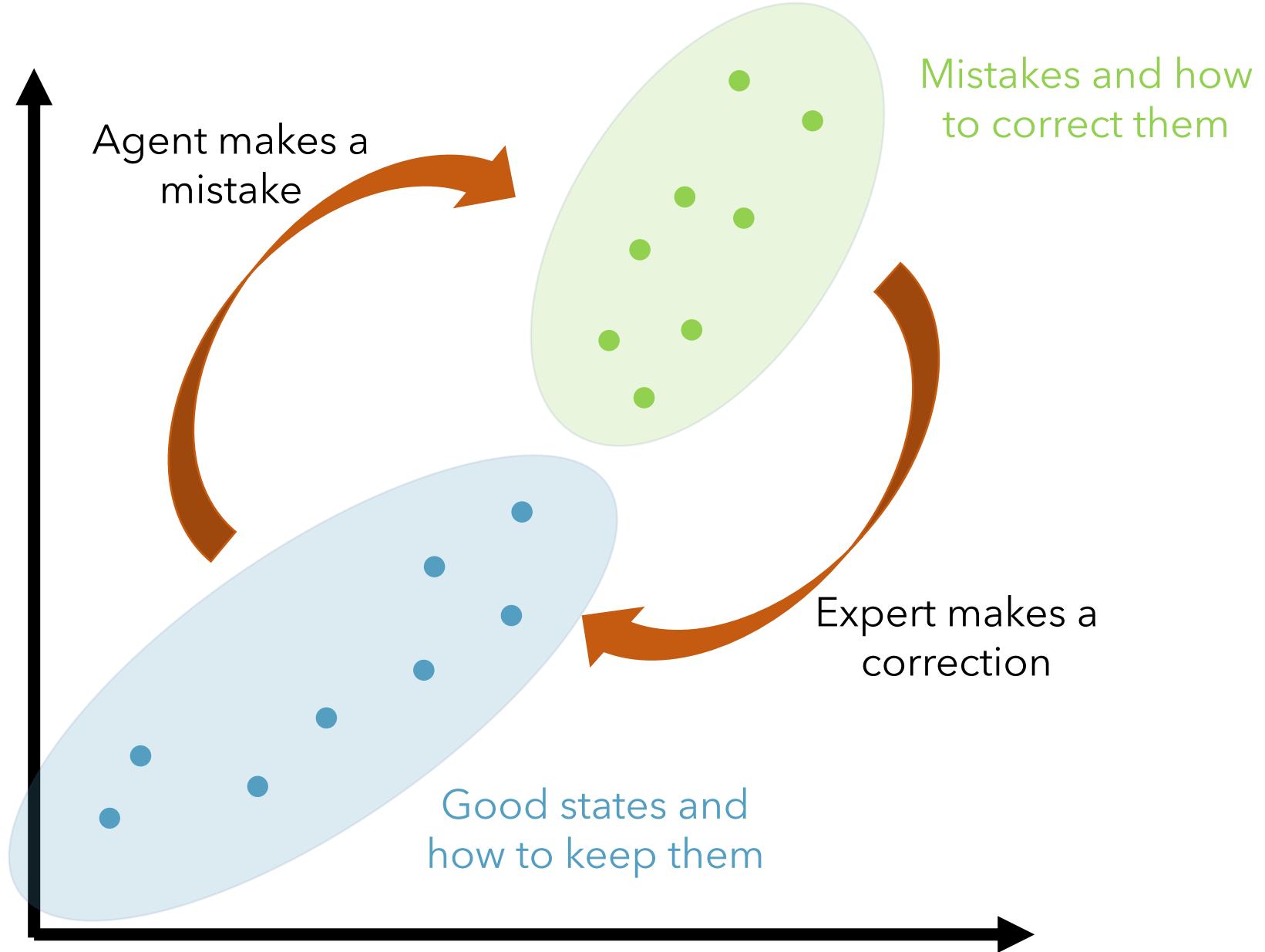


Hey, your trees are looking
too green. Try adding some
warmer tones



During robot execution, we perform corrections if necessary







?

?

?

?

Questions so far?

?

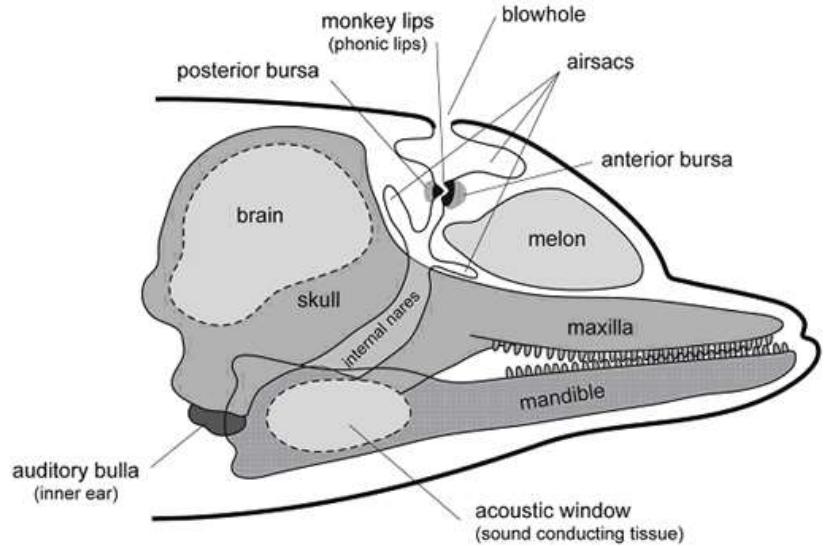
?

?

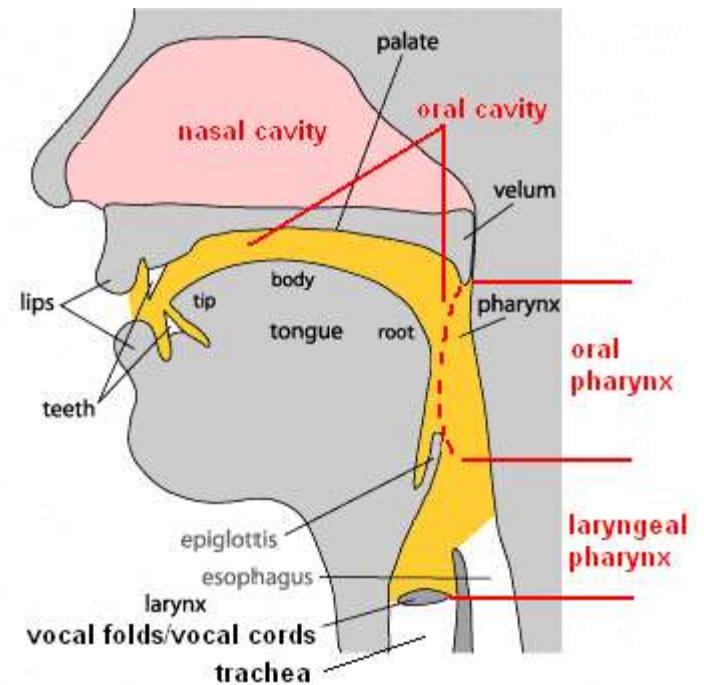
?

Sometimes the context shift happens with the **hardware**

Schematic illustration of a dolphin's head anatomy



Sound generator: The Monkey Lips/Dorsal Bursae Complex (MLDB)



Sometimes the
context shift happens
with the **hardware**



?

?

?

?

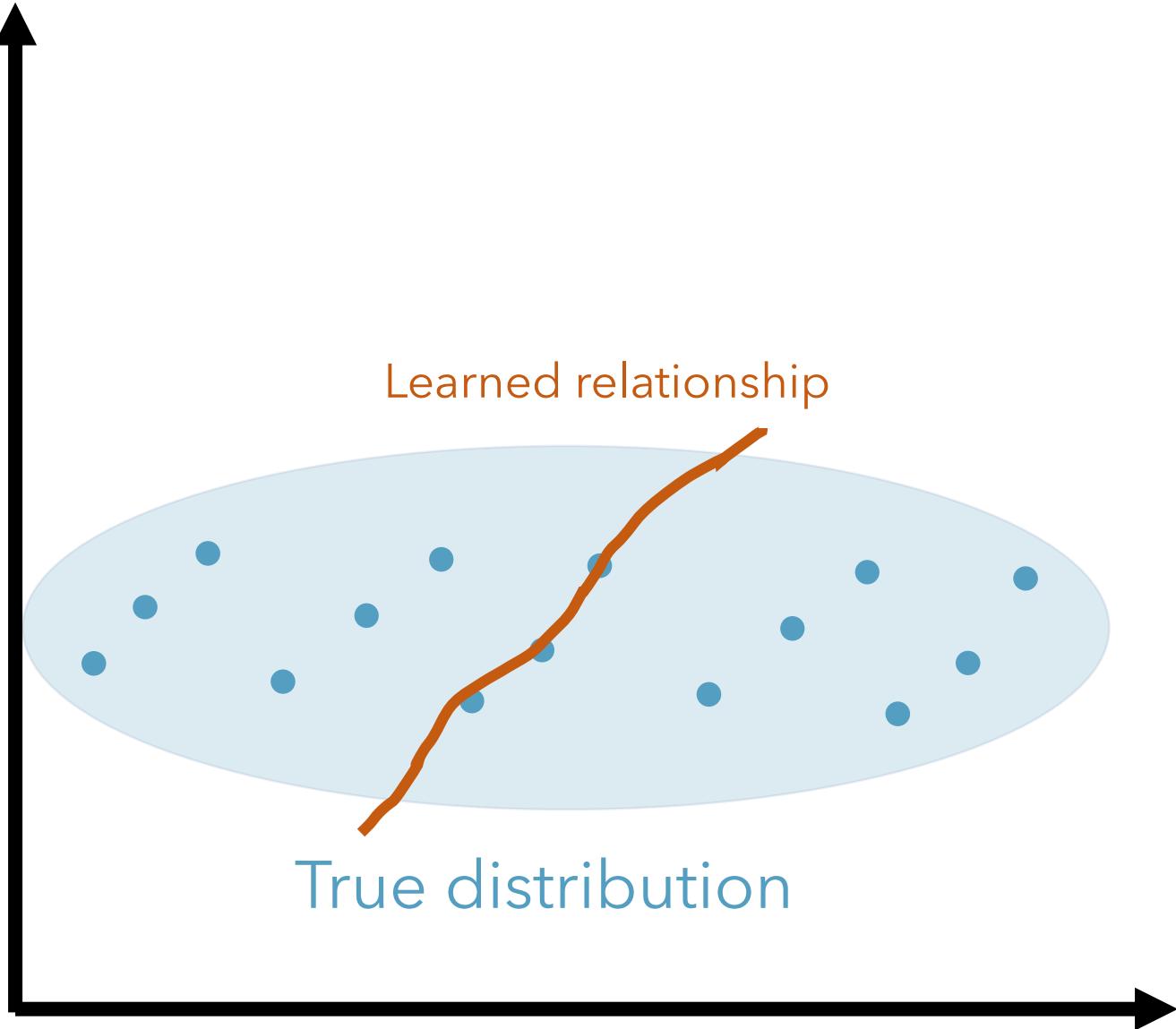
Questions so far?

?

?

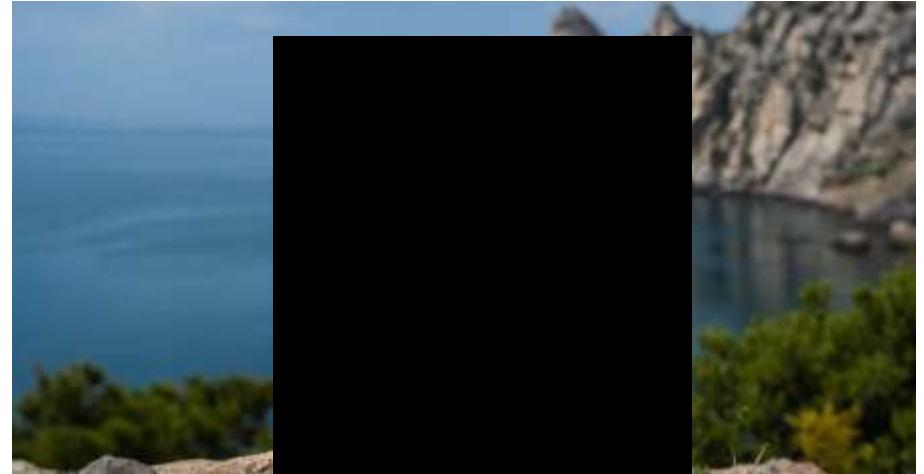
?

?



Bonus Sin:
The model
might pick up
on the **wrong**
context

In fact, sometimes
degenerate
distributions are
mathematically
easier to learn



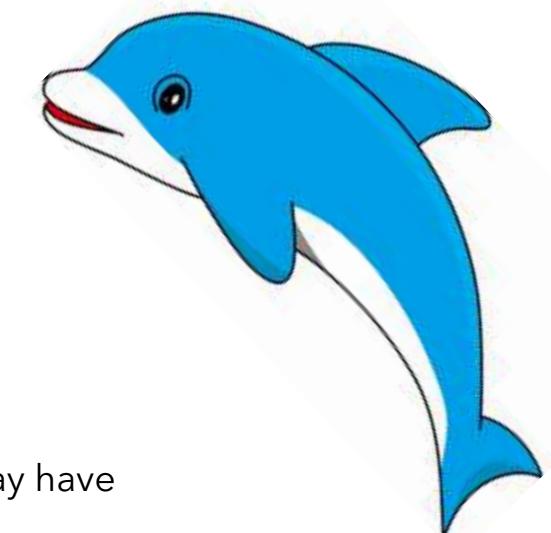
The Malfunctioning Dolphin



The dolphin picked up
on an **irrelevant**
context, leading to
catastrophic failure
upon seeing the new
boots



I'll only get a reward
if the trainer is
wearing black boots



*this is a pedagogically-twisted example. In reality, dolphins are neophobic, and the shiny red object may have triggered this phobia

天狗



Humans are
equally
susceptible

Sin Resolution

We can **consciously combat** these problems, usually with **more data** and/or **special fitting approaches**



?

?

?

?

Questions so far?

?

?

?

?

Ch 2

Superstition



Head-tapping Trua

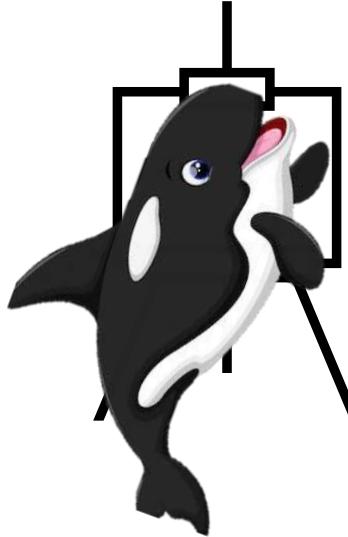


Tap tap
tap

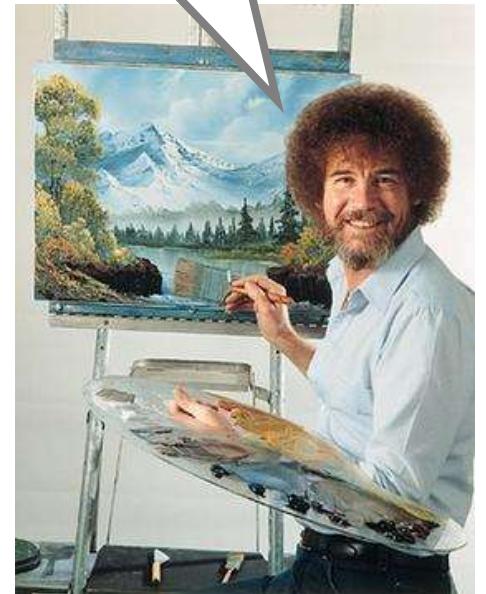
The *Deadly Sins* of Learning

1. Context Shift
- 2. Superstition**
3. Under-exploration

There are many
reasons why we may
not have an **expert**
correcting our
mistakes



Hey, your trees are looking
too green. Try adding some
warmer tones





We may **not** even
have an **expert** to
start with

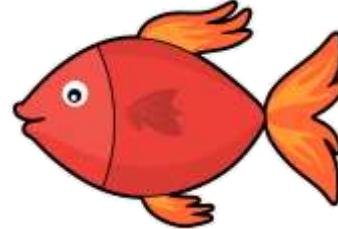


Does the lack of
an expert stop
animals from
learning?

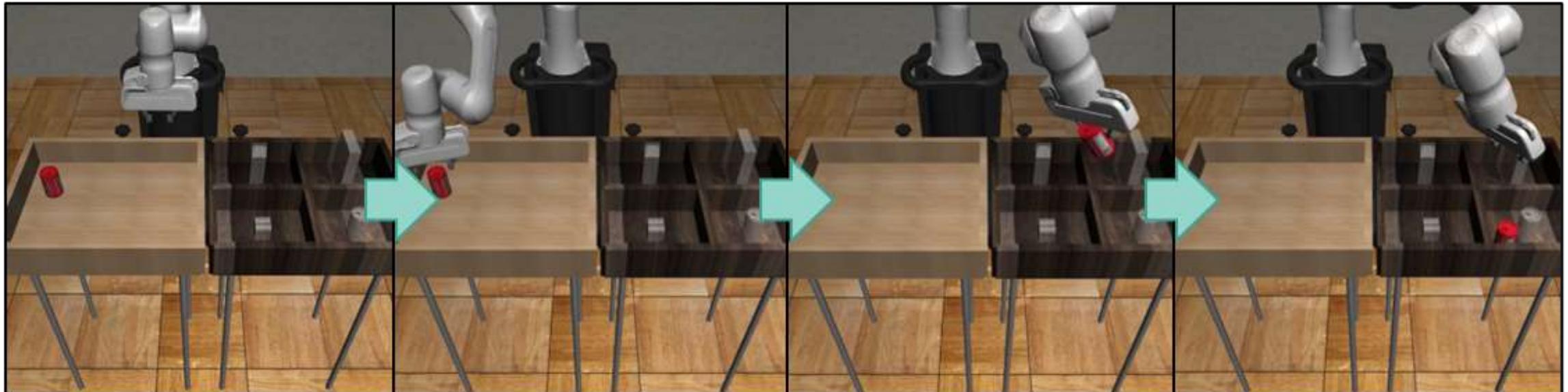


fine art
america

The **environment**
can give notions of
what's **good** and **bad**



The **environment** can give notions of what's **good** and **bad**



Bad

Good

Better

Best!

Expert Signal

- + Very easy to fit using standard ML methods
- + Can get good complex behaviors quickly
- Tedium to get large amounts of data
- Overdetermined and can lead to problems with distribution shift

Environment Signal

- + Easy to get (sometimes already given, other times easy to program)
- + Encourages agent to “figure things out”
- Underdetermined (can lead to poor performance)

The RL Objective™

Let's make a new objective:

"Get as much rewards r as possible during a lifetime T "

A mathematical expression for the RL objective function is shown: $\max \sum_{t=0}^T r(s_t, a_t)$. An orange arrow points upwards from the summation symbol, labeled "Maximization". Above the summation symbol, a bracket groups the terms $r(s_t, a_t)$ and $t=0$, labeled "Rewards given by environment". To the right of the summation symbol, a bracket groups the terms T and s_t, a_t , labeled "Across time".

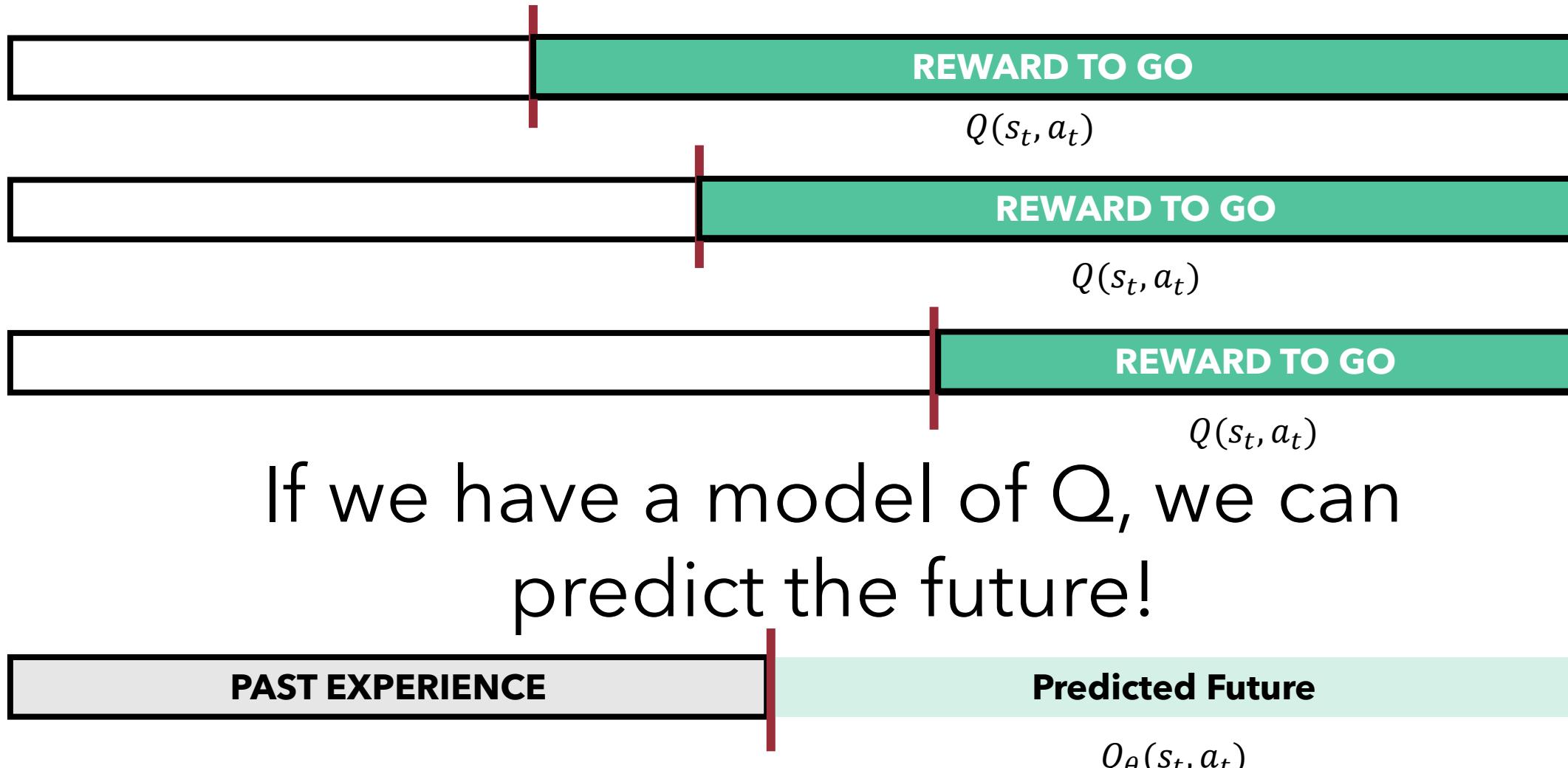
$$\max \sum_{t=0}^T r(s_t, a_t)$$

Reward to Go

Let's define Q , which is the **rewards** you will get for the **rest of your life**.

$$Q(s_t, a_t) = \sum_{l=t}^T r(s_l, a_l)$$

Q represents a sum of future rewards
(easily accessible from past experience)



How do you **solve**
the RL objective

using your
model of Q?

$$\arg \max_{a_t} Q_\theta(s_t, a_t)$$

Reward to Go

$$Q_\theta(s_t, a_t) := \sum_{l=t}^T r(s_l, a_l)$$

**Why can't we just
optimize over all $a_1, \dots a_T$
at once?**

Optimizing over Q solves the RL Objective

$$\arg \max_{a_t} Q_\theta(s_t, a_t)$$



"YESTERDAY IS HISTORY,
TOMORROW IS A MYSTERY, BUT
TODAY IS A GIFT. THAT
IS WHY IT'S CALLED THE
PRESENT."

-Master Oogway

Yesterday is history

We don't consider the past in this objective

Tomorrow is a mystery

we can't change future actions directly

Today is a gift

All we can do is execute an action in the present

?

?

?

?

Questions so far?

?

?

?

?

Input x

Current state
and current
action
 (s_t, a_t)

Output y

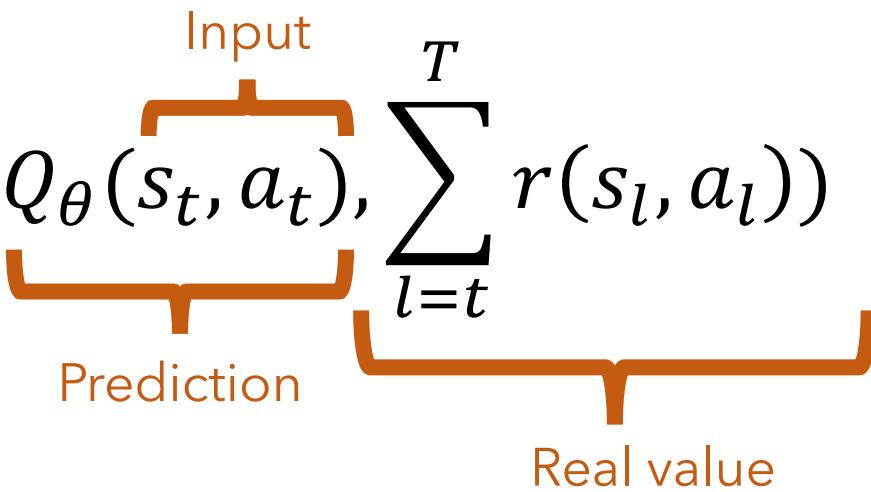
Reward to go
(Q)

$$\sum_{l=t}^T r(s_l, a_l)$$

Model:

$$Q_\theta(s_t, a_t)$$

First attempt: if we use a neural network as Q_θ ,
we can **set things up** like we did **before!**

$$\arg \min_{\theta} D(Q_{\theta}(s_t, a_t), \sum_{l=t}^T r(s_l, a_l))$$


This is very
inefficient! We need
to collect **many,**
many lifetimes
("trajectories") to get
a good Q !

$$\arg \min_{\theta} D(Q_{\theta}(s_t, a_t), \sum_{l=t}^T r(s_l, a_l))$$

The diagram shows the components of the loss function $D(Q_{\theta}(s_t, a_t), \sum_{l=t}^T r(s_l, a_l))$. It consists of three main parts: 'Input' (the state-action pair (s_t, a_t)), 'Prediction' (the Q-value $Q_{\theta}(s_t, a_t)$), and 'Real value' (the sum of rewards from time t to T). Orange brackets group these components: a bracket above the first two labeled 'Input', a bracket below the last two labeled 'Prediction', and a long bracket at the bottom labeled 'Real value'.

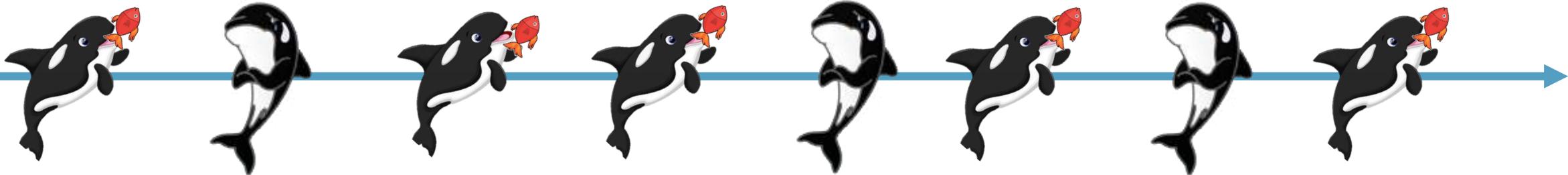
When we **conformed**
the objective to our
old setup, we
destroyed the
meaning of Q

$$\arg \min_{\theta} D(Q_{\theta}(s_t, a_t), \sum_{l=t}^T r(s_l, a_l))$$

The diagram shows the components of the loss function. An orange bracket labeled "Input" covers the term $Q_{\theta}(s_t, a_t)$. An orange bracket labeled "Prediction" covers the term $\sum_{l=t}^T r(s_l, a_l)$. An orange bracket labeled "Real value" covers the entire expression $D(Q_{\theta}(s_t, a_t), \sum_{l=t}^T r(s_l, a_l))$.

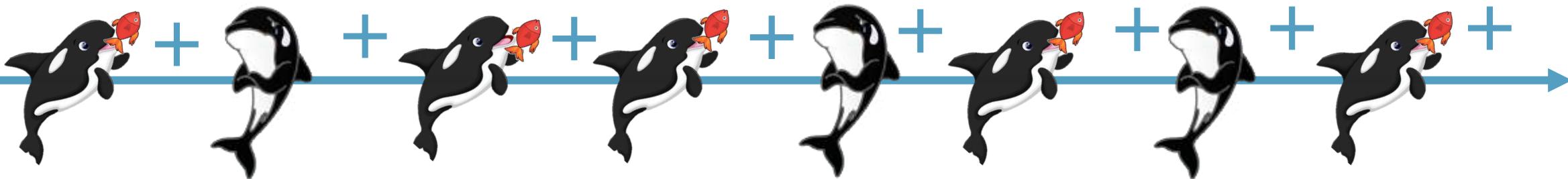
In search of better Q

$r(s_1, a_1) \quad r(s_2, a_2) \quad r(s_3, a_3) \quad r(s_4, a_4) \quad r(s_5, a_5) \quad r(s_6, a_6) \quad r(s_7, a_7) \quad r(s_8, a_8)$



In search of better Q

$r(s_1, a_1) \quad r(s_2, a_2) \quad r(s_3, a_3) \quad r(s_4, a_4) \quad r(s_5, a_5) \quad r(s_6, a_6) \quad r(s_7, a_7) \quad r(s_8, a_8)$



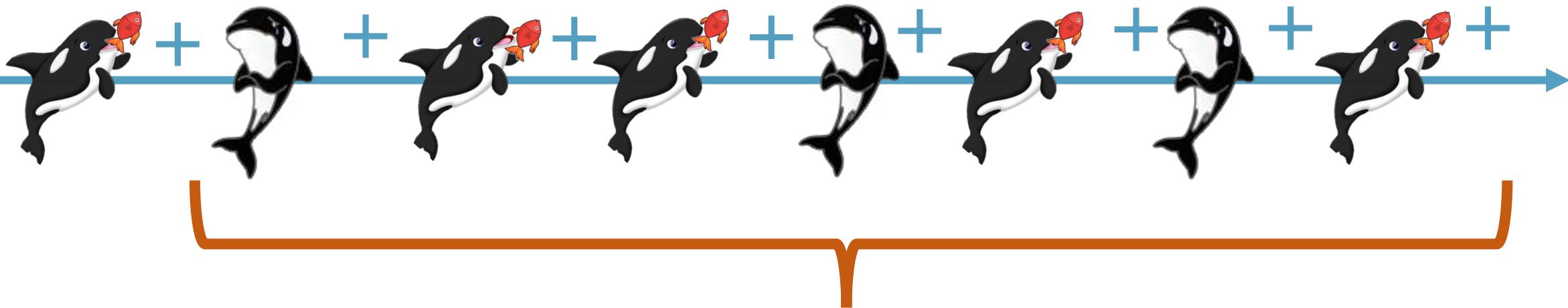
$$\sum_{t=1} r(s_t, a_t)$$

Assuming that
 Q is accurate...

$$Q(s_1, a_1)$$

In search of better Q

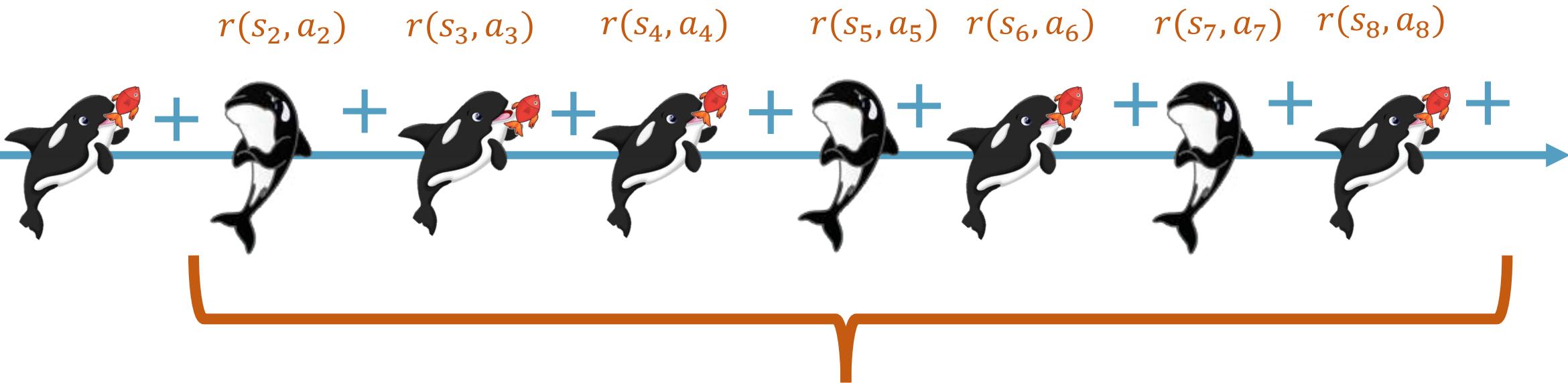
$r(s_1, a_1) \quad r(s_2, a_2) \quad r(s_3, a_3) \quad r(s_4, a_4) \quad r(s_5, a_5) \quad r(s_6, a_6) \quad r(s_7, a_7) \quad r(s_8, a_8)$



$$\sum_{t=2} r(s_t, a_t)$$

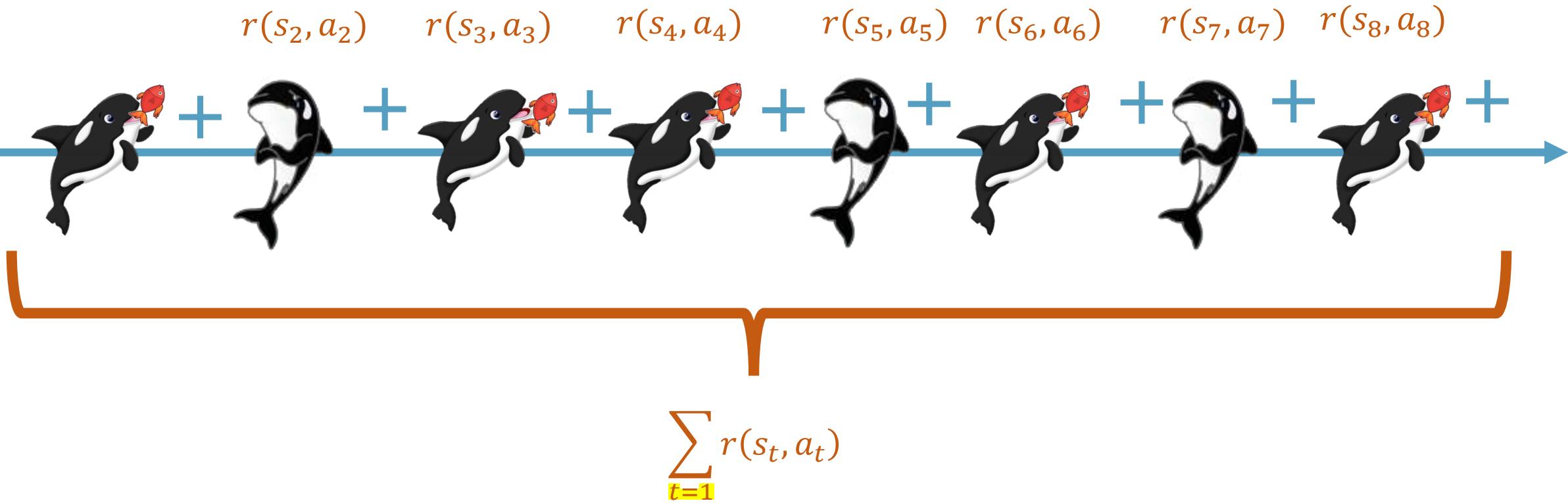
$$Q(s_2, a_2)$$

In search of better Q



$$r(s_1, a_1) + Q(s_2, a_2)$$

In search of better Q



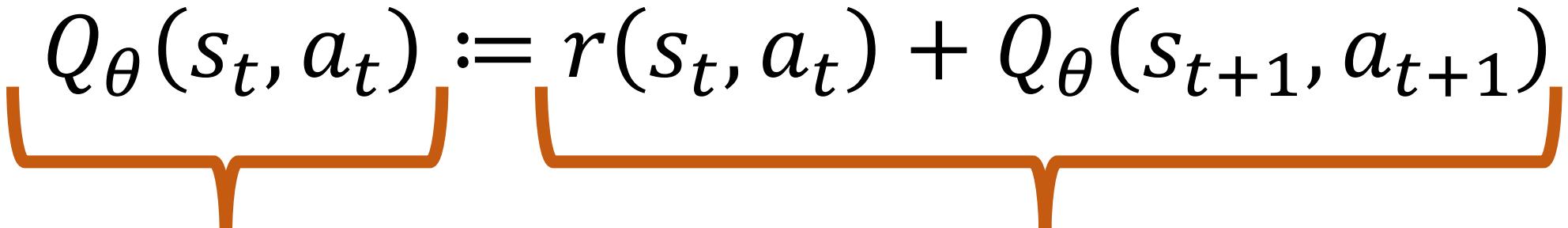
$$Q(s_1, a_1) = r(s_1, a_1) + Q(s_2, a_2)$$

We can define the “reward to go” in **terms of itself!**

$$Q(s_t, a_t) = r(s_t, a_t) + Q(s_{t+1}, a_{t+1})$$

“The value for the rest of your life is the current reward plus the value of the rest of your life in the next time step”

So, why don't we constrain the **model of Q** like this?

$$Q_\theta(s_t, a_t) := r(s_t, a_t) + Q_\theta(s_{t+1}, a_{t+1})$$


Prediction Target

Using this observation, we make our **new objective!**

$$\arg \min_{\theta} D(Q_{\theta}(s_t, a_t), r(s_t, a_t) + Q_{\theta}(s_{t+1}, a_{t+1}))$$

Current reward

Estimated life value

Estimated life value one step from now

The diagram illustrates the components of the loss function. It shows the expression $\arg \min_{\theta} D(Q_{\theta}(s_t, a_t), r(s_t, a_t) + Q_{\theta}(s_{t+1}, a_{t+1}))$. Three orange brackets are used to identify parts of the equation: a short bracket under $Q_{\theta}(s_t, a_t)$ is labeled "Estimated life value"; a short bracket under $r(s_t, a_t) + Q_{\theta}(s_{t+1}, a_{t+1})$ is labeled "Current reward"; and a long bracket under the entire expression is labeled "Estimated life value one step from now".

?

?

?

?

Questions so far?

?

?

?

?

$$\arg \min_{\theta} D(Q_{\theta}(s_t, a_t), r(s_t, a_t) + Q_{\theta}(s_{t+1}, a_{t+1}))$$

Current reward

Estimated life value

Estimated life value one step from now

This is known as a **Bellman Backup**. It is one of the fundamental equations of **reinforcement learning!**

But...why is it called a **Backup**??

Pro-tip: whenever something is weird, find a stupid example and see what happens.

State-Actions	Reward
s_1, a_2	0
s_2, a_2	0
s_3, a_3	0
s_4, a_4	0
s_5, a_5	1



But...why is it called a **Backup**??

We also assume that we can write down $Q(s, a)$ explicitly on the same table

State-Actions	Reward	Q value
s_1, a_1	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	0

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_1	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	0

$$Q(s, a) \leftarrow r + Q(s', a')$$

To train, we need (s, a, r, s', a')

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_1	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	0

$$Q(s_1, a_1) \leftarrow r_1 + Q(s_2, a_2)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_1	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	0

$$Q(s_2, a_2) \leftarrow r_2 + Q(s_3, a_3)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_1	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	0

$$Q(s_3, a_3) \leftarrow r_3 + Q(s_4, a_4)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_1	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	0

$$Q(s_4, a_4) \leftarrow r_4 + Q(s_5, a_5)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_1	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	1

$$Q(s_4, a_4) \leftarrow r_4 + 0 \text{ (you're dead)}$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	1

This is what happens when we run the data once. What happens if we **run it again**?

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	1

$$Q(s_1, a_1) \leftarrow r_1 + Q(s_2, a_2)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	1

$$Q(s_2, a_2) \leftarrow r_2 + Q(s_3, a_3)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	0
s_5, a_5	1	1

$$Q(s_3, a_3) \leftarrow r_3 + Q(s_4, a_4)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	1
s_5, a_5	1	1

$$Q(s_4, a_4) \leftarrow r_4 + Q(s_5, a_5)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	1
s_5, a_5	1	1

$$Q(s_4, a_4) \leftarrow r_4 + 0 \text{ (you're dead)}$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	1
s_5, a_5	1	1

Interesting! Again!

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	1
s_5, a_5	1	1

$$Q(s_1, a_1) \leftarrow r_1 + Q(s_2, a_2)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	0
s_4, a_4	0	1
s_5, a_5	1	1

$$Q(s_2, a_2) \leftarrow r_2 + Q(s_3, a_3)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

$$Q(s_3, a_3) \leftarrow r_3 + Q(s_4, a_4)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

$$Q(s_4, a_4) \leftarrow r_4 + Q(s_5, a_5)$$

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

$Q(s_4, a_4) \leftarrow r_4 + 0$ (you're dead)

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	0
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

See a pattern?

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	0
s_2, a_2	0	1
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

After another run through the data

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	1
s_2, a_2	0	1
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

And after another run...

But...why is it called a **Backup**??

State-Actions	Reward	Q value
s_1, a_2	0	1
s_2, a_2	0	1
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

And after another run...

But...why is it called a **Backup**??

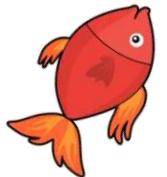
State-Actions	Reward	Q value
s_1, a_2	0	1
s_2, a_2	0	1
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

Hmm...looks like we've stopped changing things

The Bellman Backup operation **pushes** a reward signal into the **past**, allowing us to **plan** for the future

State-Actions	Reward	Q value
s_1, a_2	0	1
s_2, a_2	0	1
s_3, a_3	0	1
s_4, a_4	0	1
s_5, a_5	1	1

The Bellman Backup
operation **pushes** a reward
signal into the **past**, allowing
us to associate actions to
rewards



Sidenote: in reality, we add a **discount factor** γ to keep us more focused on present rewards

State-Actions	Reward	Q value
s_1, a_2	0	0.9606
s_2, a_2	0	0.9703
s_3, a_3	0	0.9801
s_4, a_4	0	0.99
s_5, a_5	1	1

$$Q(s, a) \leftarrow r + \gamma Q(s', a')$$

Here, we use $\gamma = 0.99$

?

?

?

?

Questions so far?

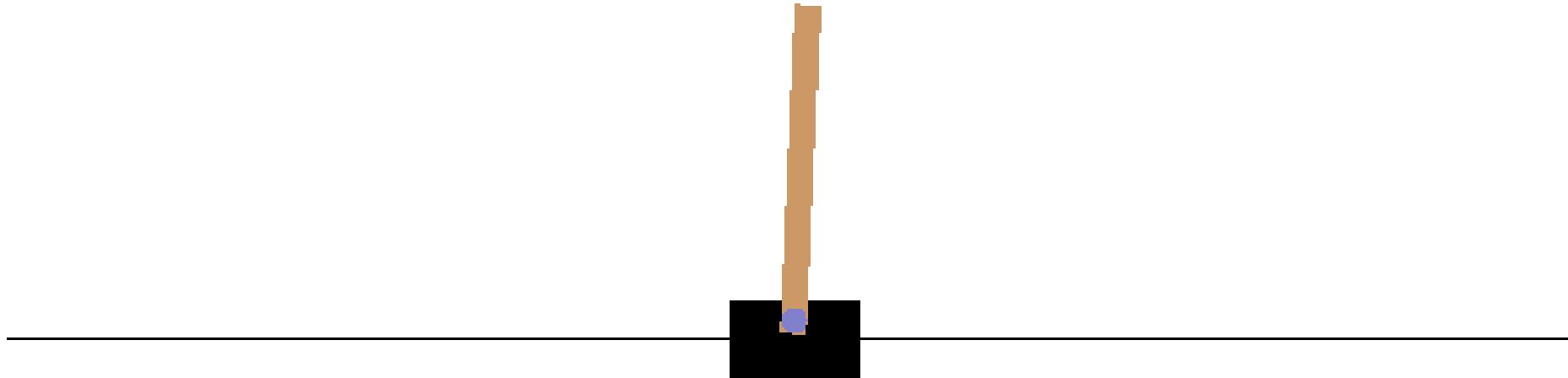
?

?

?

?

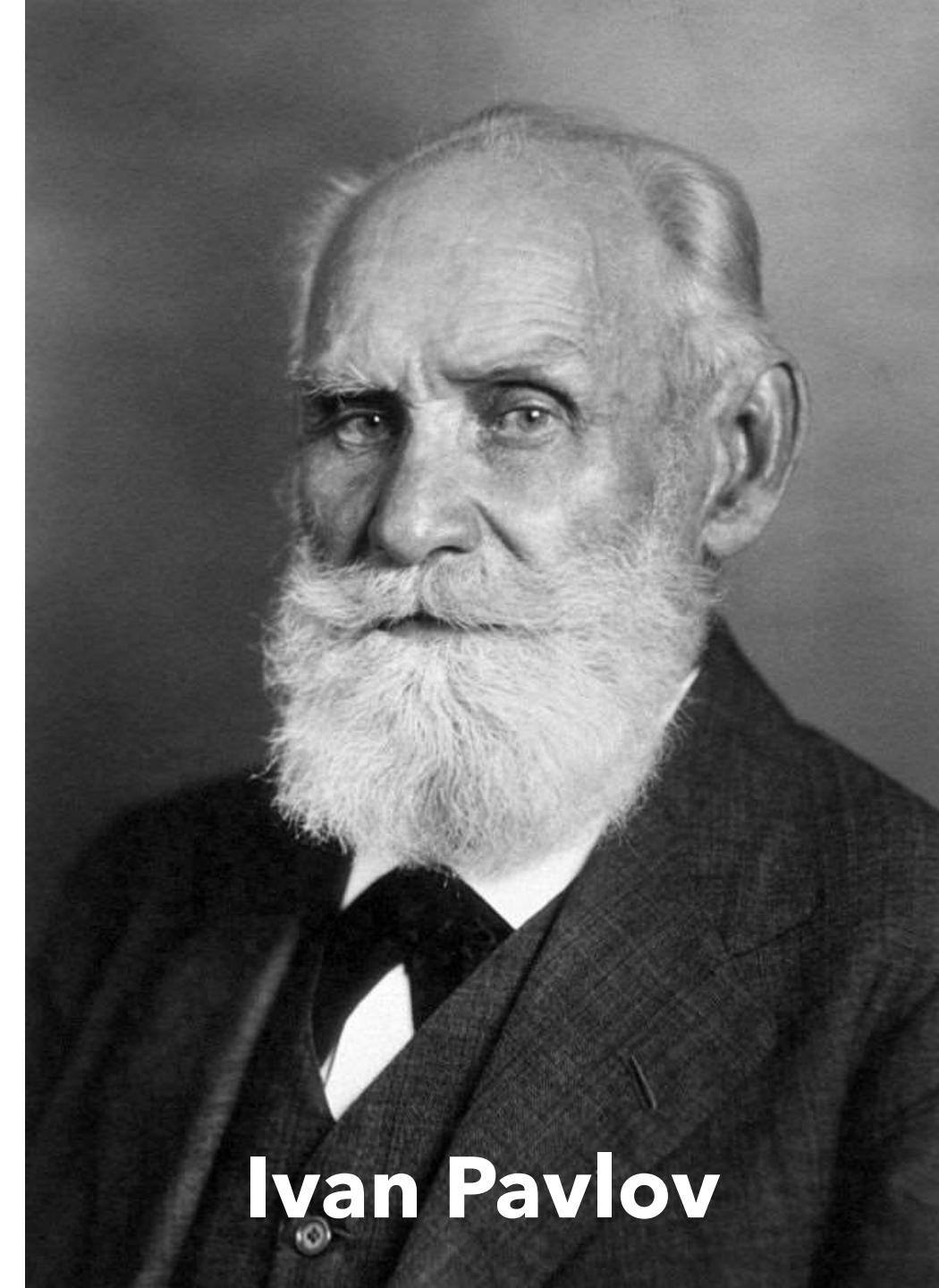
Once you have a Q, just find the **best action** at each step ($\max_a Q(s, a)$)



You've just done RL!



Bellman backups happen all the time in **real life**—it is how humans and animals **learn** as well!



Ivan Pavlov

Food is a naturally-
occurring
reinforcement. This is a
primary reinforcer
($r = \text{nonzero}$)



Music is normally a
neutral stimulus ($r = 0$)

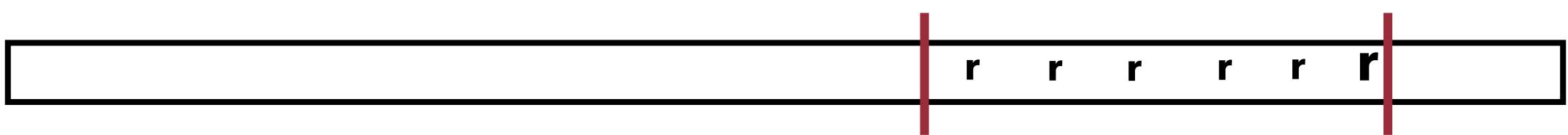


We run to the sound of
ice cream truck **music**,
and we might even
salivate



This is because we **propagated** a non-zero reward (ice cream) to a zero-reward (music) using the **Bellman Backup!**





Music

Ice Cream



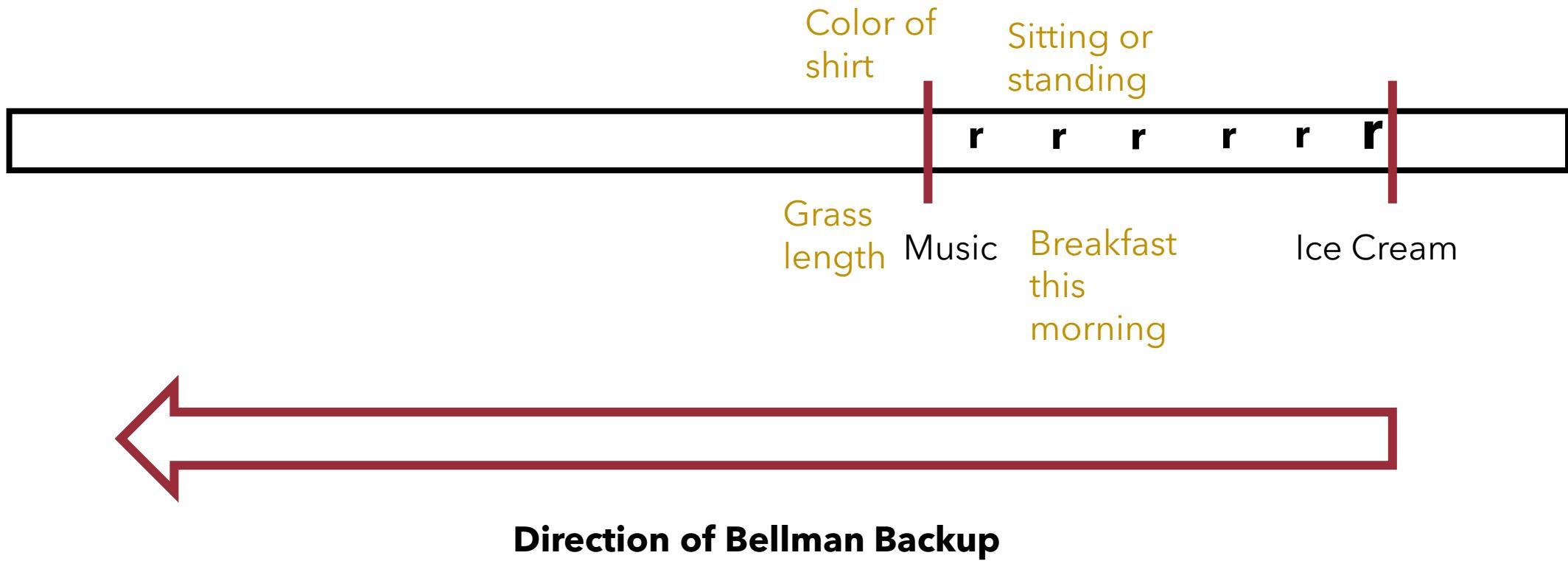
Direction of Bellman Backup

**Question: does the
reward stop at the
music?**

The music is **associated**
with ice cream through the
Bellman Backup

*Why don't you associate
the color of your mom's
jacket, the length of grass
outside, the price of gas,
with the ice cream truck?*

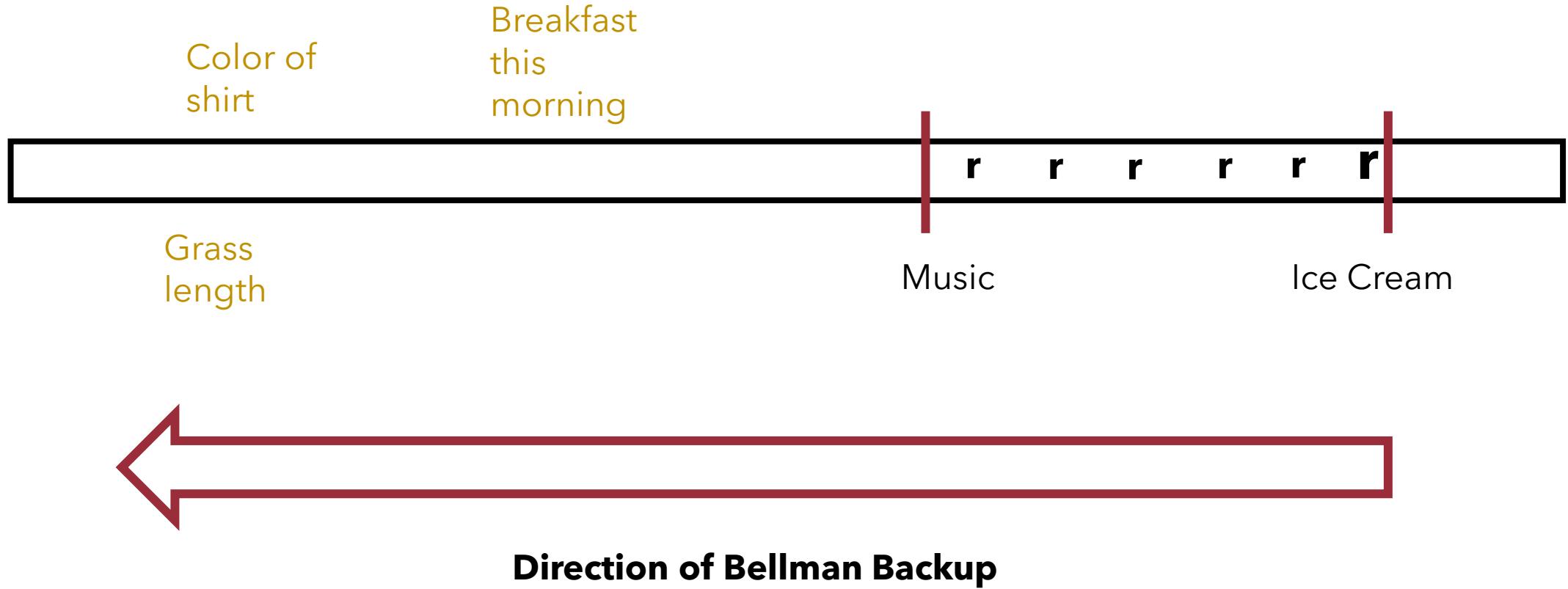




For every reward, there are myriad of **potential causes**. To learn properly, we need to assign the **right credit**.

Potential Causes of Ice Cream

- Length of your grass
- Ice cream truck proximity
- Breakfast this morning
- Currently sitting / standing
- The children running towards the ice cream truck (i.e. the children create the ice cream)



Observation #1: **Immediacy** of signal-reward pair is helpful

It is impossible to reward a whale at the **exact time** it does something good.

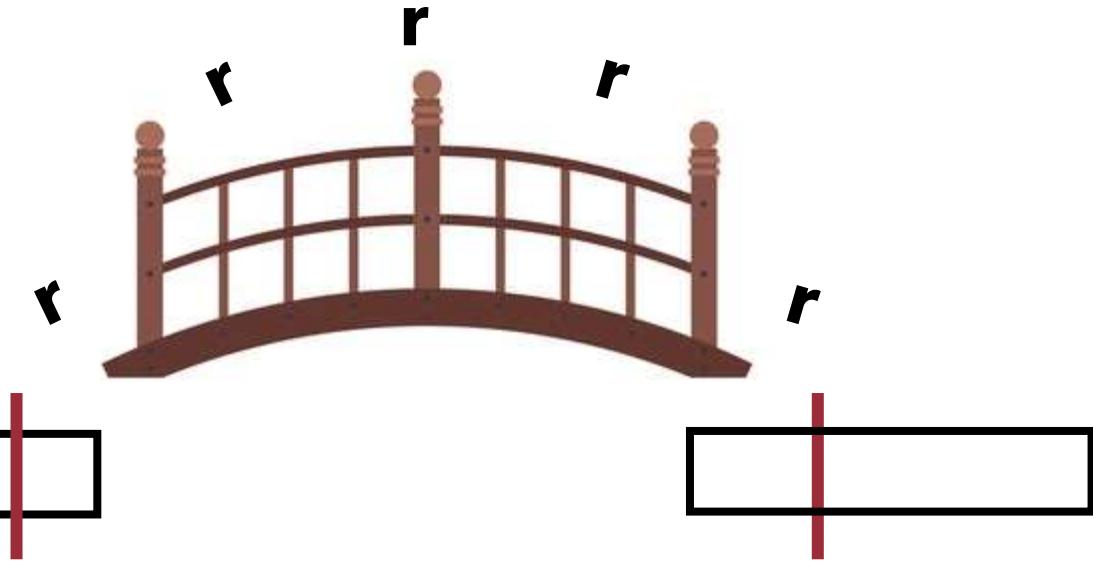
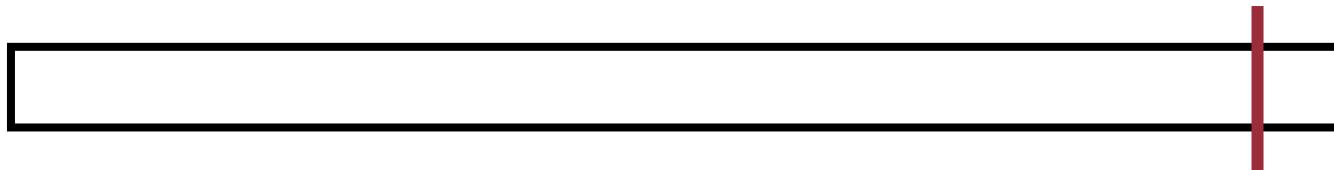


Trainers recognize
importance of **reward**
immediacy, so they use
a sound signal to as a
reward **stand-in**.

This is called a **“Bridge”**



Bridges improve the
immediacy of rewards



Direction of Bellman Backup

When immediacy isn't adequate



**A car stops near a pedestrian.
What happened?**

- A pedestrian force field?
- The driver pushing on the brakes?

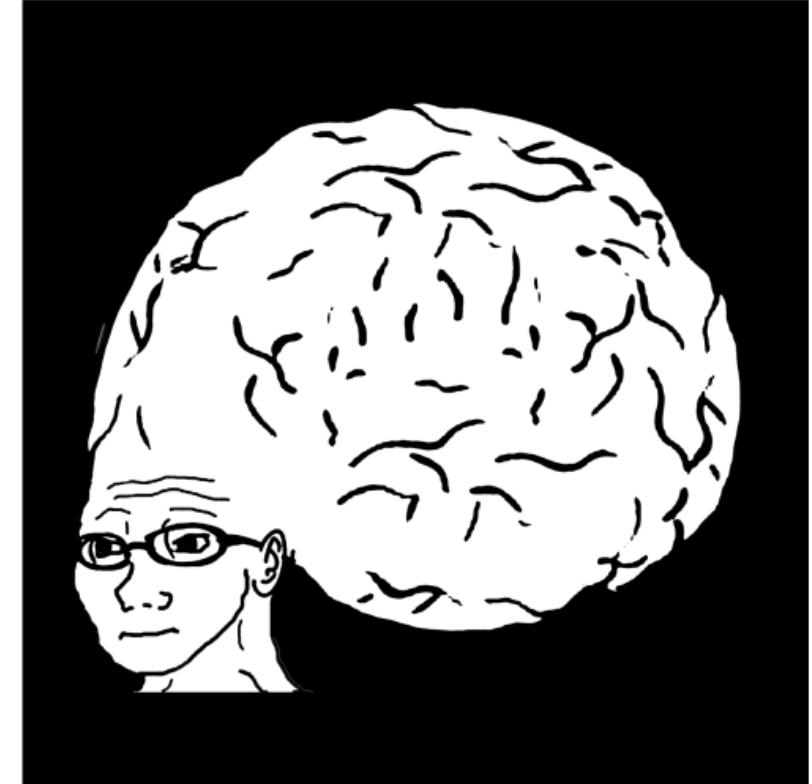
Potential Causes of Ice Cream

- ~~Length of your grass~~
 - Ice cream truck proximity
- ~~Breakfast this morning~~
 - Currently sitting / standing
 - The children running towards the ice cream truck (i.e. the children create the ice cream)

But what about
these?

As humans, we have an intricate knowledge of the world around us.

We siphon from this **world-knowledge** to perform **highly accurate** credit assignment



From a dolphin's point of view: you've just been given a bridge + fish. What was the cause?

- Head movement
- Tail movement
- The pattern I swam in the pool
- Standing still
- Nothing (purely random)

Not so easy, is it?

The sin of superstition

Trua's head-tapping was a **superstition**: he thought that tapping was a necessary part of the behavior

Tap tap
tap



Superstitions are a failure in credit assignment

$$\arg \min_{\theta} D(Q_{\theta}(s_t, a_t), r(s_t, a_t) + Q_{\theta}(s_{t+1}, a_{t+1}))$$

Current reward

Estimated life value

Estimated life value one step from now

Bad credit-assignment can arise during
the fitting of Q_{θ} , leading to robots
creating **absurd superstitions**

Sin Resolution

Make rewards **closer** to
the cause (immediacy)

Add **world knowledge**
to your robot / dolphin



Ch 3

Under- Exploration



Can you train this?



The *Deadly Sins* of Learning

1. Context Shift
2. Superstition
- 3. Under-exploration**

What we know so far



Given rewards, we can perform the best behavior

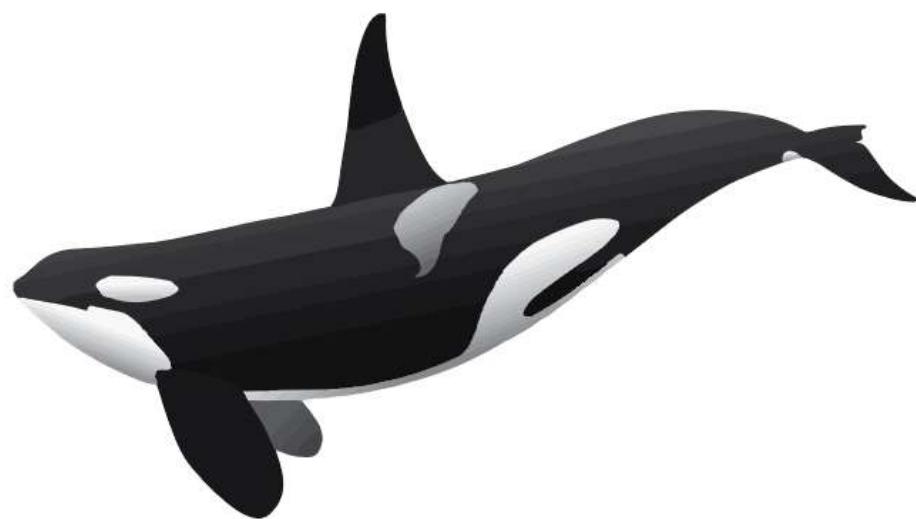
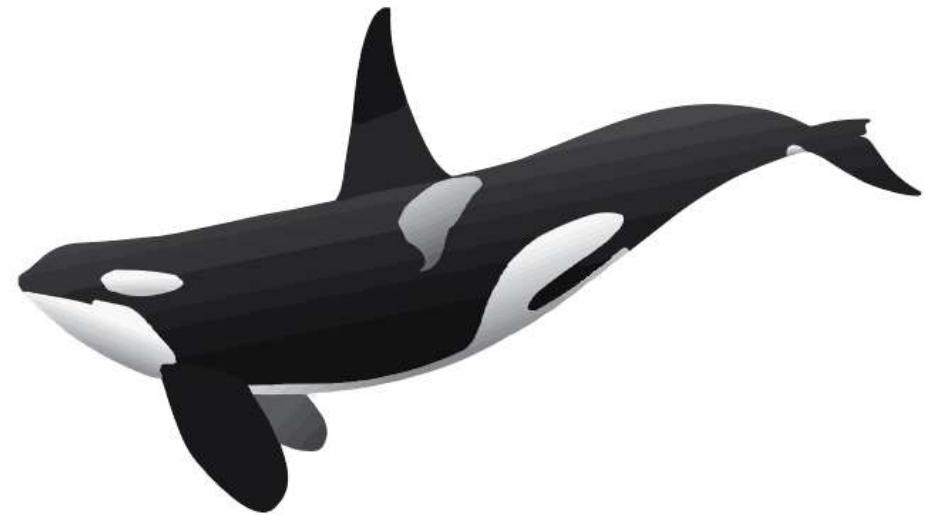
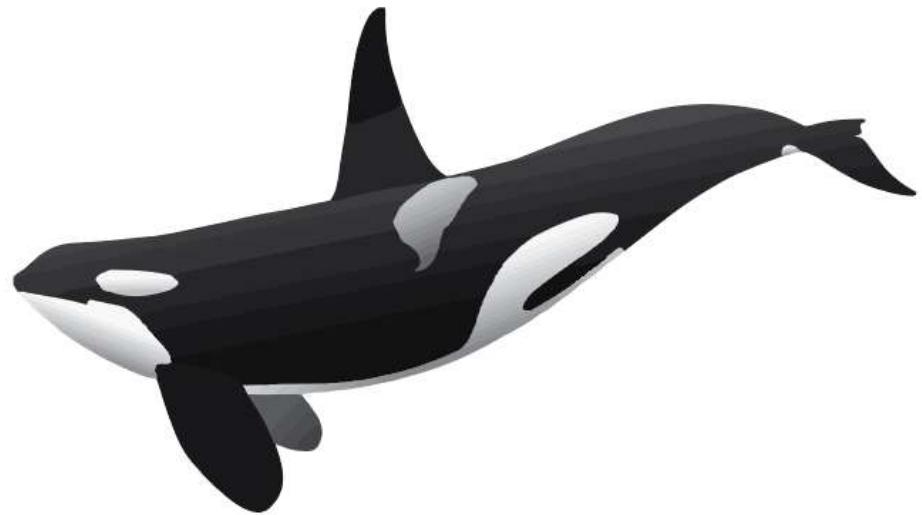


How to give the rewards to get desired behavior

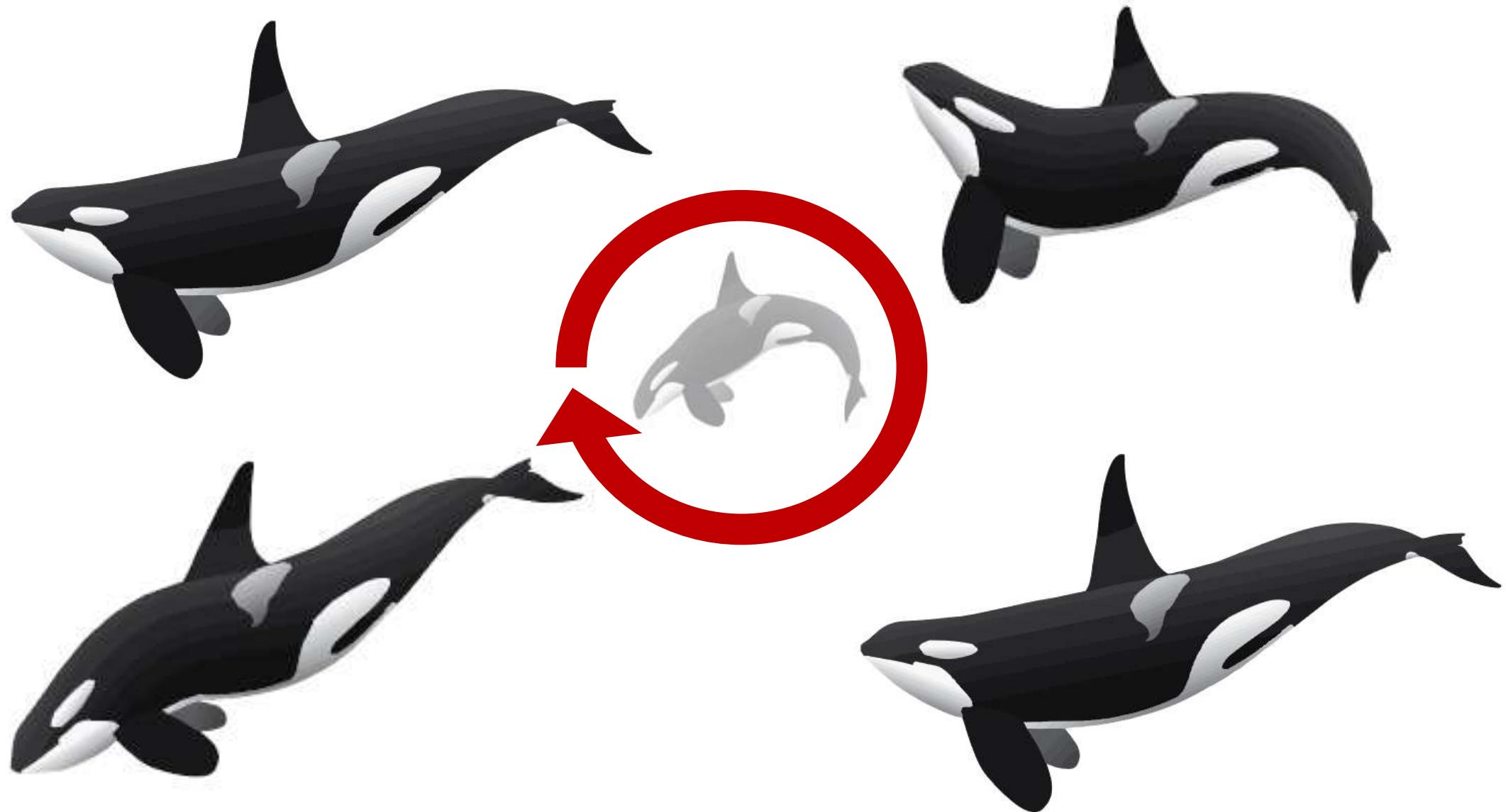
When and how do
you **reward?**



What could happen?

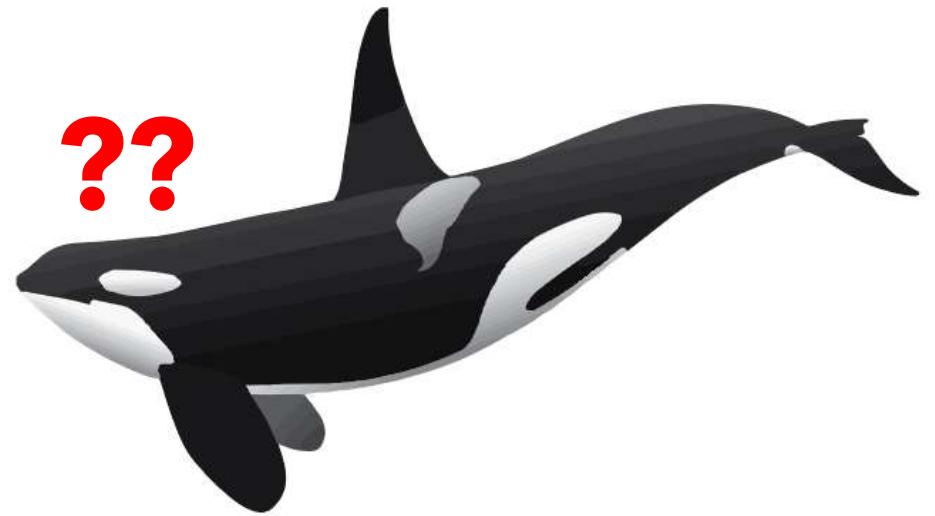


What could happen?



The sin of under-exploration

With a “**sparse**” reward like the whistle, we transmit very **little** **information**, which may lead to very little progress.



With a “**dense**” reward, we can convey **more information** and succeed



Similarity-O-Meter

0.63

With a “**dense**” reward, we can convey **more information** and succeed



Similarity-O-Meter

0.69

With a “**dense**” reward, we can convey **more information** and succeed



Similarity-O-Meter

0.61

With a “**dense**” reward, we can convey **more information** and succeed



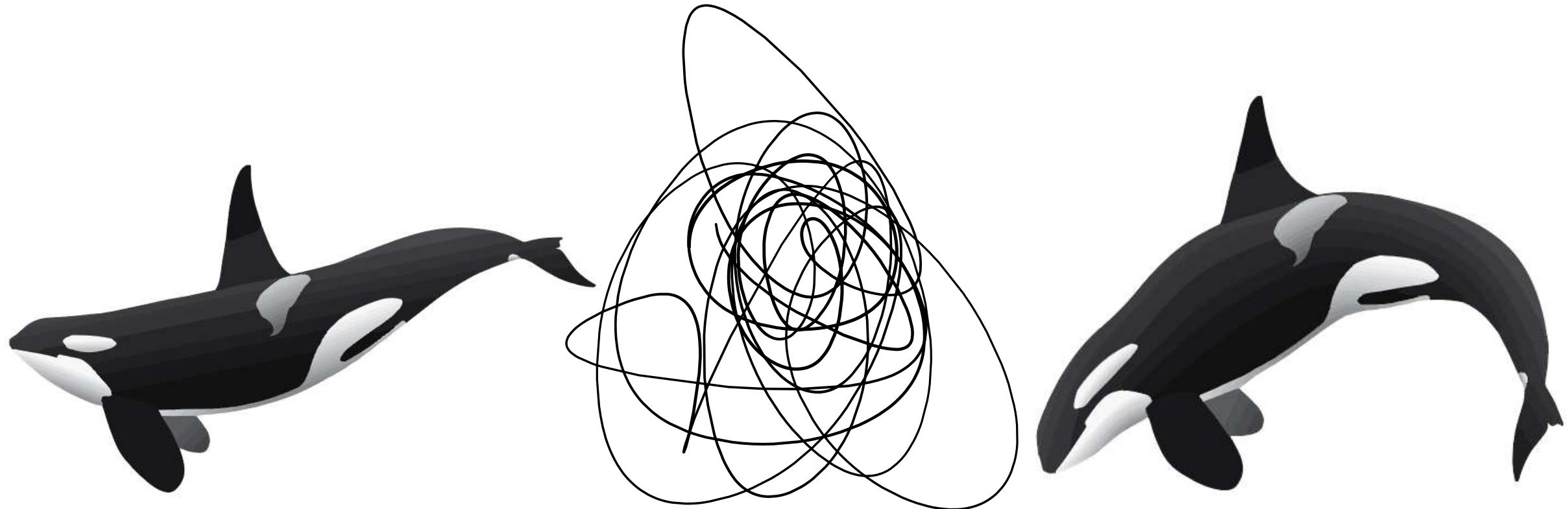
Similarity-O-Meter

0.99

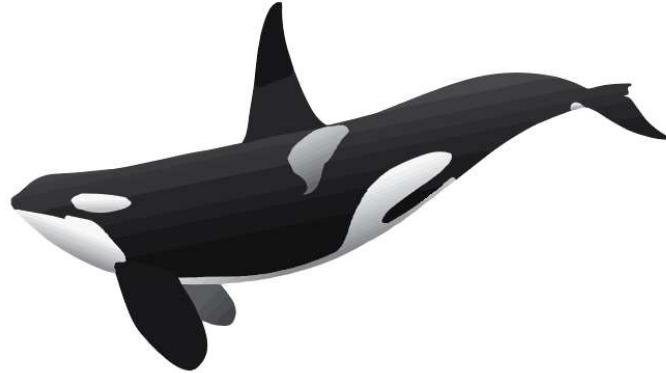
Big
problem...whales
can't read.



Maybe we're too ambitious...



Provide sparse rewards at every **small change**. This is known as creating **successive approximations**.

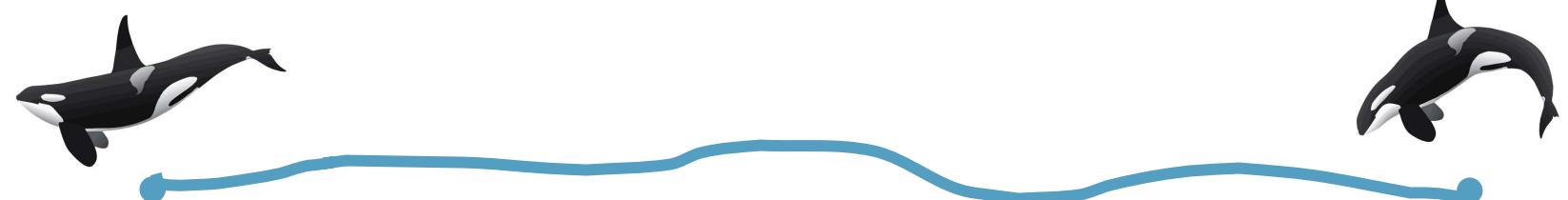


You approximate a dense reward with a sequence of sparse rewards

Sparse (naïve trainer)



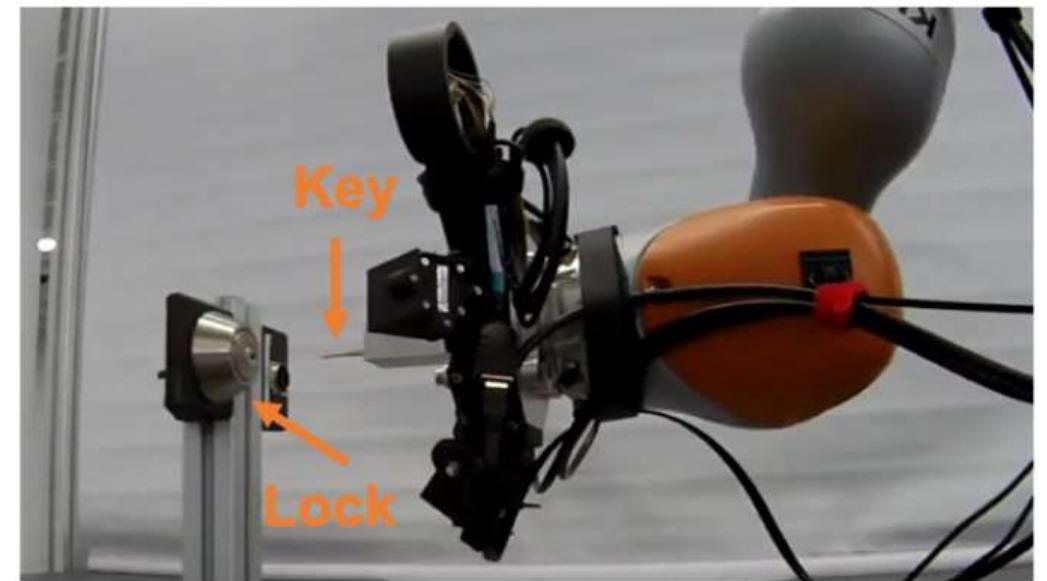
Shaped (impossible / difficult ideal)



Successive approximations (compromise)



In robot learning, we call
successive approximations
a **"curriculum"**



You approximate a dense reward with a sequence of sparse rewards

Sparse (naïve trainer)



Successive approximations (compromise)



But how do you jump this gap?

?

?

?

?

?

Questions so far?

?

?

?

?

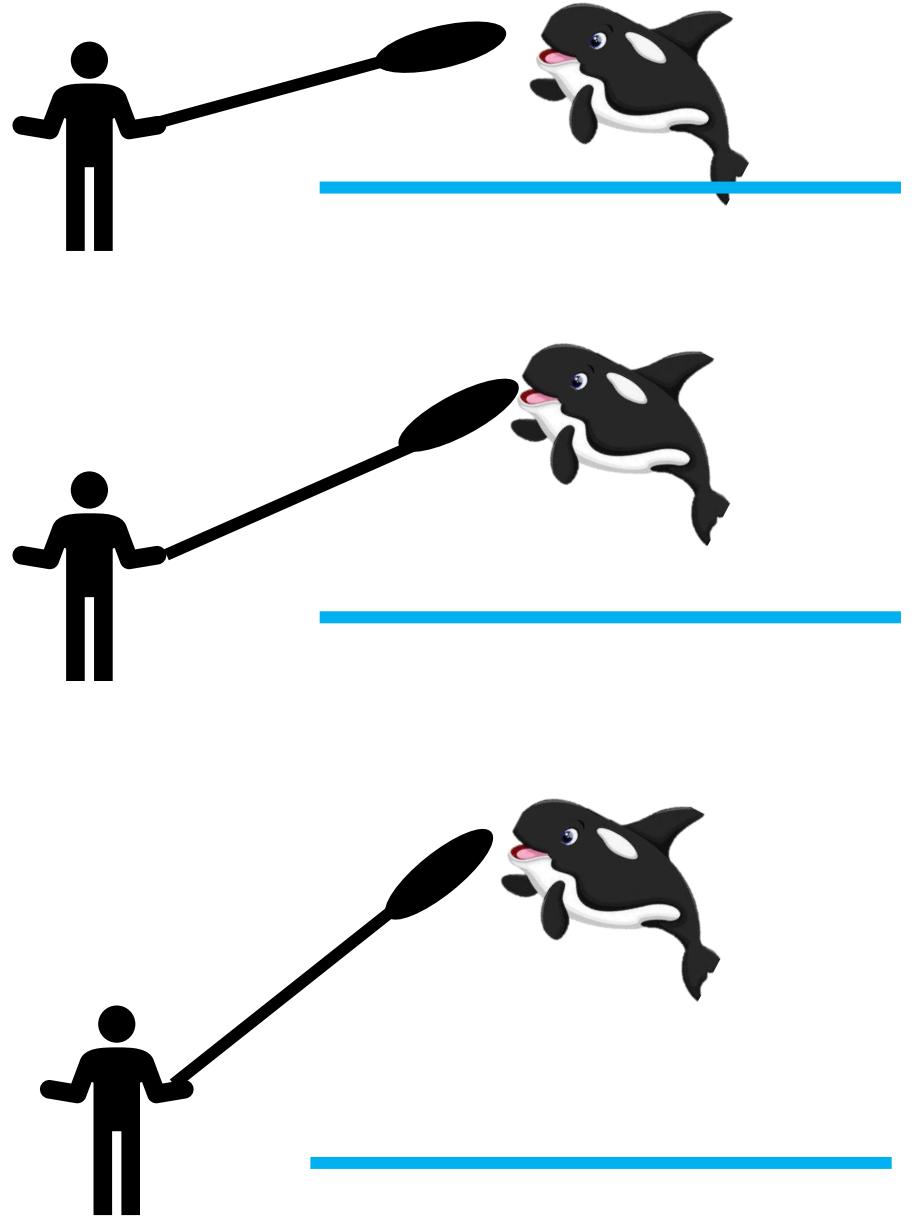
How do you solve this?

(live demo)

***this demo has a high rate of failure...**



The target rod turns
any hard task into a
reaching task (which
uses existing, primitive
skills)



Successive Approximation Demonstration

IMATA Workshops 2022
Orkid “Cartwheel”

Use **multiple targets**
to solve difficult
problems

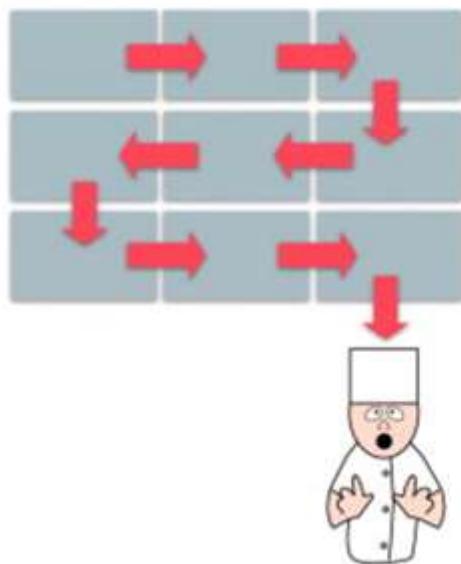


Use **multiple**
targets to solve
difficult problems

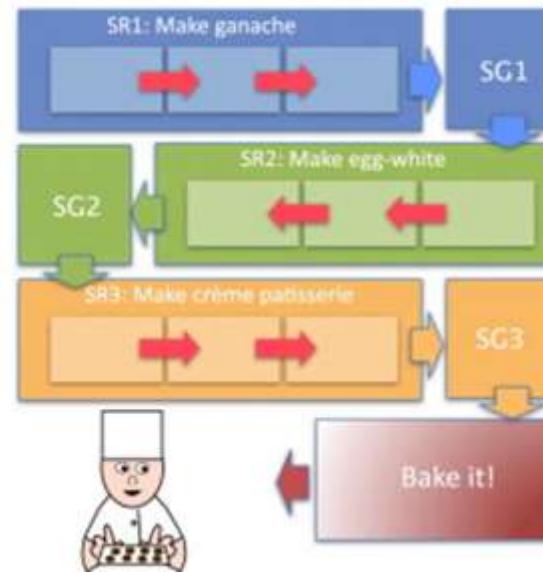


The **same trick** is used in robot learning to **simplify** complicated tasks

A Conventional Reinforcement Learning



B Hierarchical Reinforcement Learning



What we know so far



Given rewards, we can perform the best behavior

Sort of...



How to give the rewards to get desired behavior

Sin Resolution

Make the **problem**
simpler to solve

Or...improve the
ability to **explore**
(active question)



To help with the learning process, we (trainers, roboticists) can use **successive approximations** on a task

If we aren't using punishments / physical restraints, we run into a problem of...**things not happening.**

?

?

?

?

Questions so far?

?

?

?

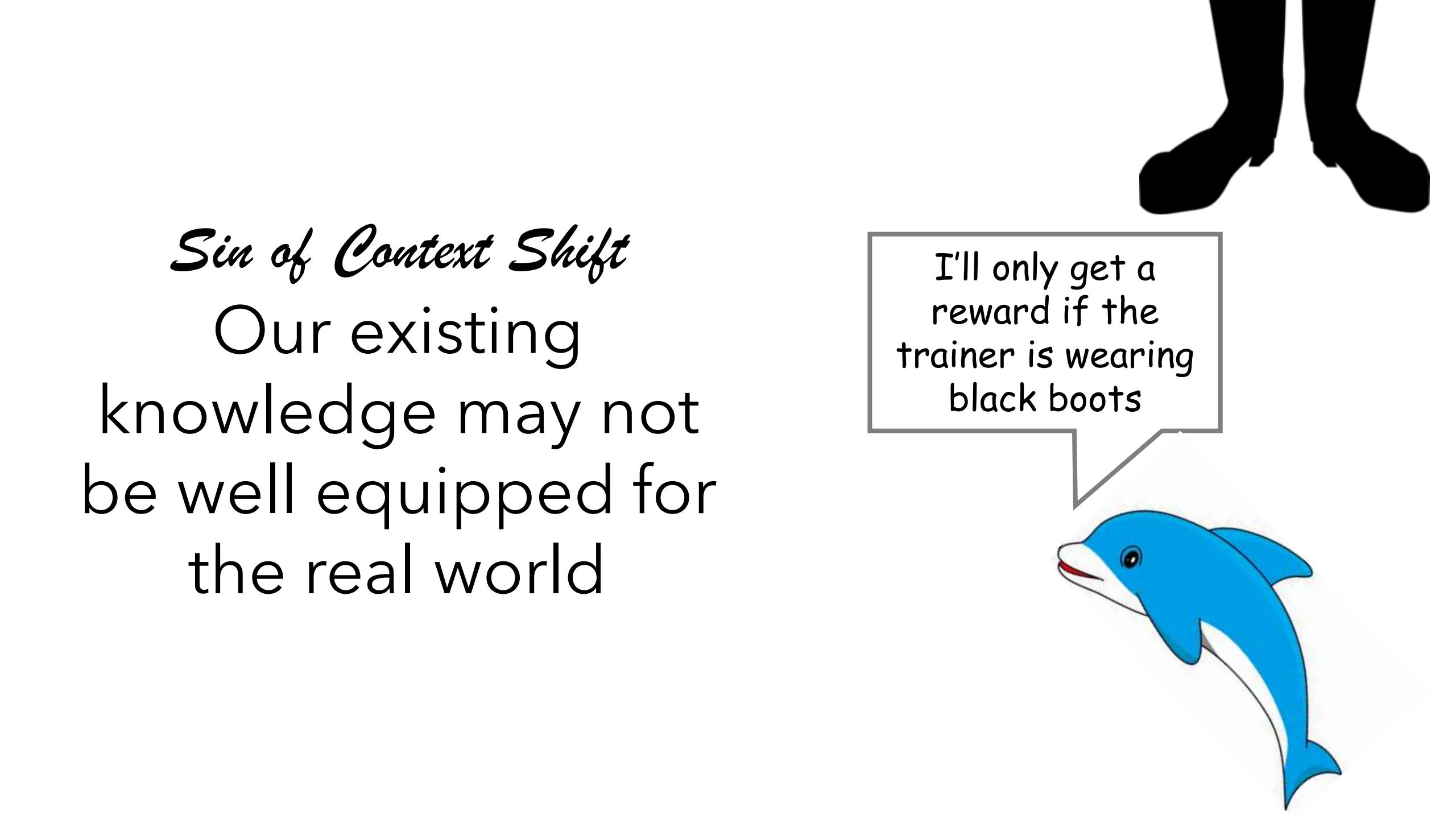
?

The *Deadly Sins* of Learning

1. Context Shift
2. Superstition
3. Under-exploration

Sin of Context Shift

Our existing knowledge may not be well equipped for the real world

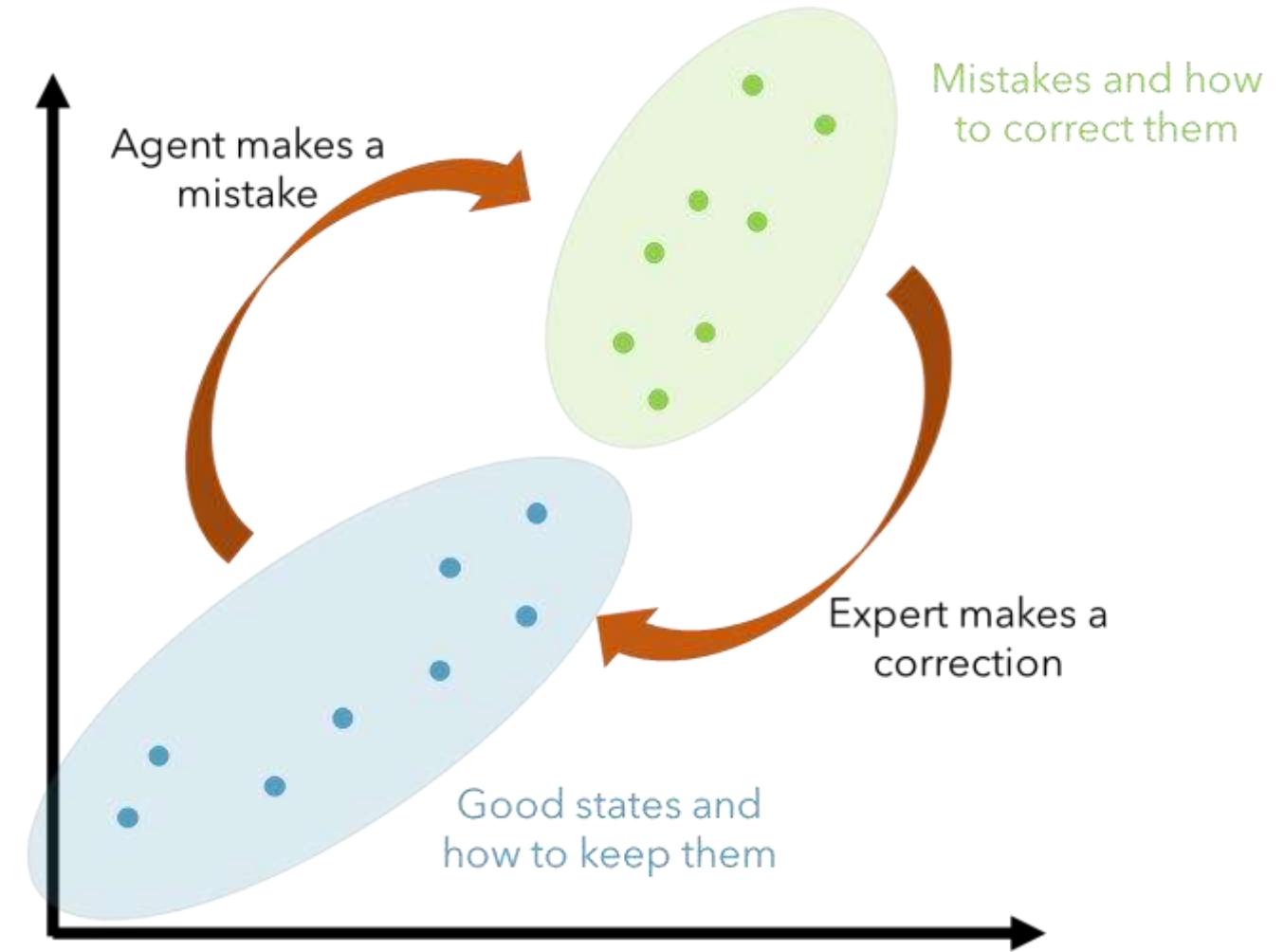


I'll only get a reward if the trainer is wearing black boots

Sin Resolution
Add more
experiences,
strategically



Sin Resolution
Add more
experiences,
strategically



The *Deadly Sins* of Learning

1. Context Shift
2. Superstition
3. Under-exploration

Sin of Superstition

The laws of cause-and-effect are harder than we make it look

Head-tapping is an important part of this behavior



Sin Resolution

Make rewards more immediate, and teach your animal/robots the rules of the human world

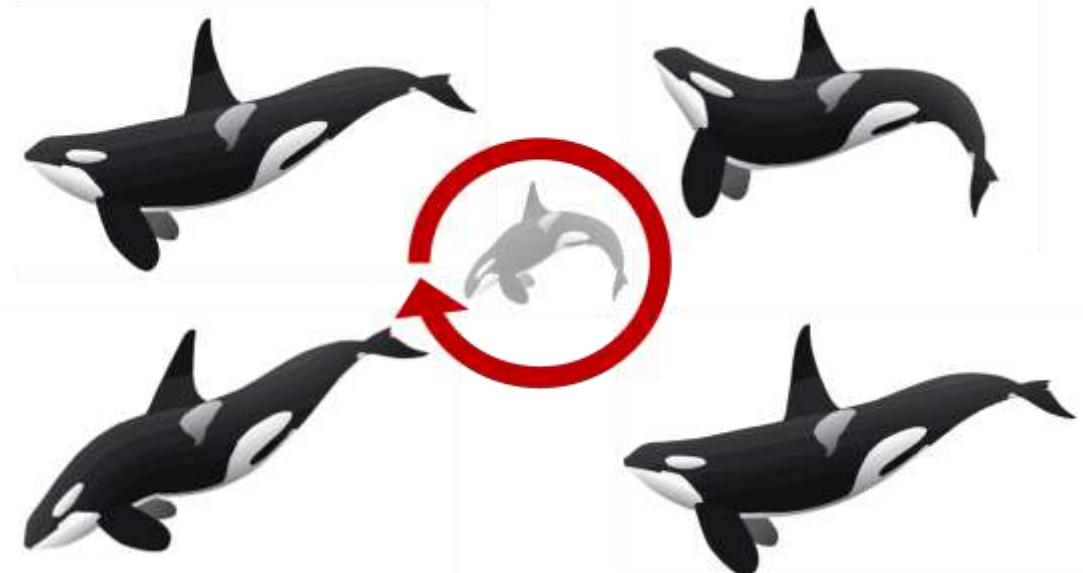


The *Deadly Sins* of Learning

1. Context Shift
2. Superstition
3. Under-exploration

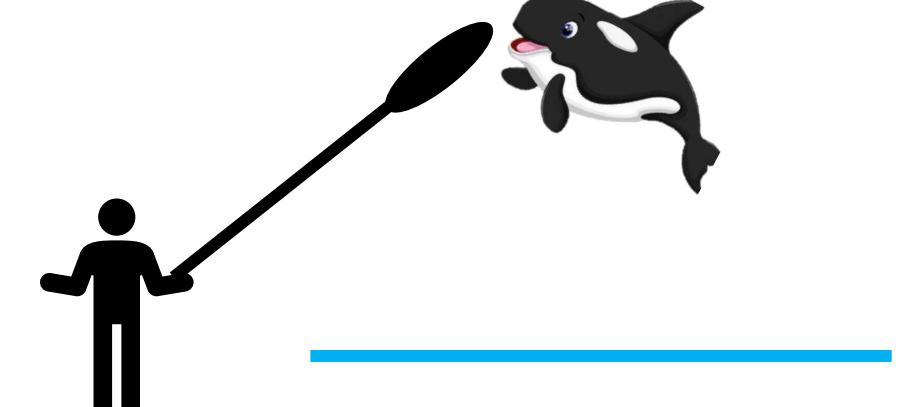
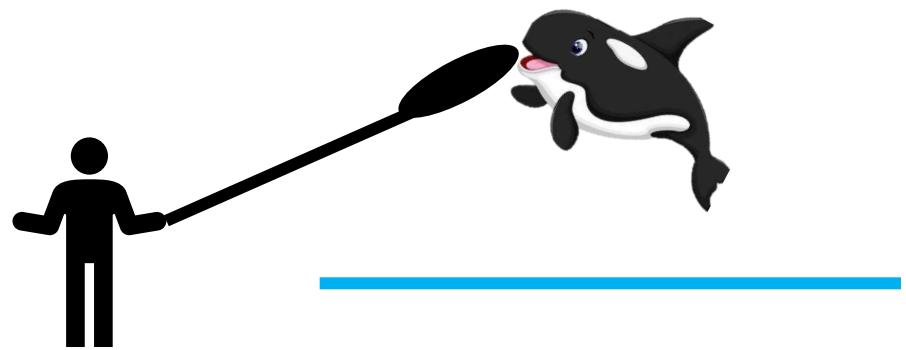
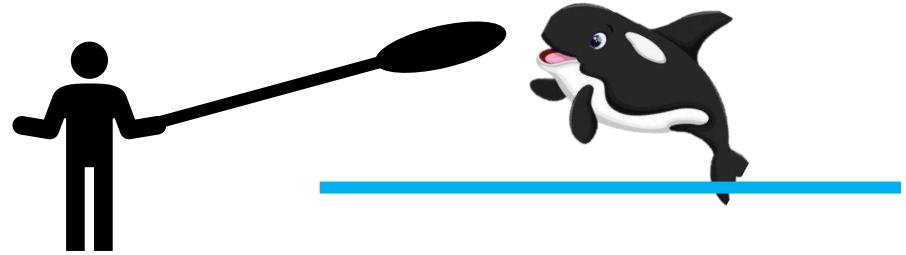
Sin of Under-Exploration

There are many ways
of doing things
wrong; only a few
ways of doing it right



Sin Resolution

Break the task into simpler steps. Shape complex behaviors gradually.



The Deadly Sins of Learning

1. Context Shift
2. Superstition
3. Under-exploration

These are all challenges faced while trying to understand the world. They are not technical problems, but rather deep problems of all life.

Ch 7

Epilogue



**“We shall not cease from
exploration, and the end of all
our exploring will be to arrive
where we started and know the
place for the first time”**

- T.S. Eliot



Final Questions?